

Authority Budget of:

Northwest Bergen County Utilities Authority

State Filing Year

2021

For the Period:

January 1, 2021

to

December 31, 2021

www.NBCUA.com

Authority Web Address



Division of Local Government Services

2021 (2021-2022) AUTHORITY BUDGET

Certification Section

2021 (2021-2022)

NORTHWEST BERGEN COUNTY UTILITIES AUTHORITY
(Name)

AUTHORITY BUDGET

FISCAL YEAR: FROM January 1, 2021 TO December 31, 2021

For Division Use Only

CERTIFICATION OF APPROVED BUDGET

It is hereby certified that the approved Budget made a part hereof complies with the requirements of law and the rules and regulations of the Local Finance Board, and approval is given pursuant to N.J.S.A. 40A:5A-11.

*State of New Jersey
Department of Community Affairs
Director of the Division of Local Government Services*

By: _____ Date: _____

CERTIFICATION OF ADOPTED BUDGET

It is hereby certified that the adopted Budget made a part hereof has been compared with the approved Budget previously certified by the Division, and any amendments made thereto. This adopted Budget is certified with respect to such amendments and comparisons only.

*State of New Jersey
Department of Community Affairs
Director of the Division of Local Government Services*

By: _____ Date: _____

2021 (2021-2022) PREPARER'S CERTIFICATION


NORTHWEST BERGEN COUNTY UTILITIES AUTHORITY (Name)

AUTHORITY BUDGET

FISCAL YEAR: FROM: January 1, 2021 **TO:** December 31, 2021

It is hereby certified that the Authority Budget, including both the Annual Budget and the Capital Budget/Program annexed hereto, represents the members of the governing body's resolve with respect to statute in that: all estimates of revenue are reasonable, accurate and correctly stated; all items of appropriation are properly set forth; and in itemization, form and content, the budget will permit the exercise of the comptroller function within the Authority.

It is further certified that all proposed budgeted amounts and totals are correct. Also, I hereby provide reasonable assurance that all assertions contained herein are accurate and all required schedules are completed and attached.

Preparer's Signature:			
Name:	James Rotundo		
Title:	Executive Director		
Address:	30 Wyckoff Avenue @ Authority Drive, PO Box 255 Waldwick, NJ 07463		
Phone Number:	201-447-2660	Fax Number:	201-447-0247
E-mail address	jrotundo@nbcua.com		

2021 (2021-2022) APPROVAL CERTIFICATION


NORTHWEST BERGEN COUNTY UTILITIES AUTHORITY (Name)

AUTHORITY BUDGET

FISCAL YEAR: **FROM:** January 1, 2021 **TO:** December 31, 2021

It is hereby certified that the Authority Budget, including all schedules appended hereto, are a true copy of the Annual Budget and Capital Budget/Program approved by resolution by the governing body of the Northwest Bergen County Utilities Authority, at an open public meeting held pursuant to N.J.A.C. 5:31-2.3, on the 20th day of October, 2020.

It is further certified that the recorded vote appearing in the resolution represents not less than a majority of the full membership of the governing body thereof.

Officer's Signature:			
Name:	Alison Gordon		
Title:	Board Secretary		
Address:	30 Wyckoff Avenue @ Authority Drive, PO Box 255 Waldwick, NJ 07463		
Phone Number:	201-447-2660	Fax Number:	201-447-0247
E-mail address	agordon@nbcua.com		

INTERNET WEBSITE CERTIFICATION

Authority's Web Address:	nbcua.com
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All authorities shall maintain either an Internet website or a webpage on the municipality's or county's Internet website. The purpose of the website or webpage shall be to provide increased public access to the authority's operations and activities. N.J.S.A. 40A:5A-17.1 requires the following items to be included on the Authority's website at a minimum for public disclosure. Check the boxes below to certify the Authority's compliance with N.J.S.A. 40A:5A-17.1.

- A description of the Authority's mission and responsibilities
- Budgets for the current fiscal year and immediately preceding two prior years
- The most recent Comprehensive Annual Financial Report (Unaudited) or similar financial information (**Similar Information is such as PIE Charts, Bar Graphs etc. for such items as Revenues, Expenditures, and other information the Authority deems relevant to inform the public**)
- The complete (**All Pages**) annual audits (**Not the Audit Synopsis**) of the most recent fiscal year and immediately two prior years
- The Authority's rules, regulations and official policy statements deemed relevant by the governing body of the authority to the interests of the residents within the authority's service area or jurisdiction
- Notice posted pursuant to the "Open Public Meetings Act" for each meeting of the Authority, setting forth the time, date, location and agenda of each meeting
- The approved minutes of each meeting of the Authority including all resolutions of the board and their committees; for at least three consecutive fiscal years
- The name, mailing address, electronic mail address and phone number of every person who exercises day-to-day supervision or management over some or all of the operations of the Authority
- A list of attorneys, advisors, consultants and any other person, firm, business, partnership, corporation or other organization which received any remuneration of \$17,500 or more during the preceding fiscal year for any service whatsoever rendered to the Authority.

It is hereby certified by the below authorized representative of the Authority that the Authority's website or webpage as identified above complies with the minimum statutory requirements of N.J.S.A. 40A:5A-17.1 as listed above. A check in each of the above boxes signifies compliance.

Name of Officer Certifying compliance

James Rotundo

Title of Officer Certifying compliance

Executive Director

Signature

Page C-4



2021 (2021-2022) ADOPTION CERTIFICATION

NORTHWEST BERGEN COUNTY UTILITIES AUTHORITY (Name)

AUTHORITY BUDGET

FISCAL YEAR: FROM: TO:

Note: This is filled on for Adoption of the Budget Don't fill in for Introduction of the Budget

It is hereby certified that the Authority Budget and Capital Budget/Program annexed hereto is a true copy of the Budget adopted by the governing body of the _____ Authority, pursuant to N.J.A.C. 5:31-2.3, on the ___ day of, _____, _____.

Officer's Signature:			
Name:			
Title:			
Address:			
Phone Number:		Fax Number:	
E-mail address			

2021 (2021-2022) ADOPTED BUDGET RESOLUTION

This resolution is for Adoption of the Budget Only Don't use for introduction of the Budget

Note Fill in the name of Each Commissioner and indicate their recorded Vote

(Name)

AUTHORITY

FISCAL YEAR: FROM: TO:

WHEREAS, the Annual Budget and Capital Budget/Program for the _____ Authority for the fiscal year beginning _____, _____ and ending, _____ has been presented for adoption before the governing body of the _____ Authority at its open public meeting of _____; and

WHEREAS, the Annual **Budget Page F-1** and Capital **Budget page CB-3** as presented for adoption reflects each item of revenue **Budget page F-2** and appropriation **budget page F-4** in the same amount and title as set forth in the introduced and approved budget, including all amendments thereto, if any, which have been approved by the Director of the Division of Local Government Services; and

NOW, THEREFORE BE IT RESOLVED, by the governing body of _____ Authority, at an open public meeting held on _____ that the Annual Budget and Capital Budget/Program of the _____ Authority for the fiscal year beginning, _____ and, ending, _____ is hereby adopted and shall constitute appropriations for the purposes stated; and

BE IT FURTHER RESOLVED, that the Annual Budget and Capital Budget/Program as presented for adoption reflects each item of revenue and appropriation in the same amount and title as set forth in the introduced and approved budget, including all amendments thereto, if any, which have been approved by the Director of the Division of Local Government Services.

(Secretary's Signature)

(Date)

Governing Body
Member:

Recorded Vote
Aye Nay Abstain Absent

Note Fill in the name of Each Commissioner and indicate their recorded Vote

2021 (2021-2022) AUTHORITY BUDGET

Narrative and Information Section

2021 (2021-2022) AUTHORITY BUDGET MESSAGE & ANALYSIS

NORTHWEST BERGEN COUNTY UTILITIES AUTHORITY BUDGET

FISCAL YEAR: FROM: JAN. 1, 2021 TO: DEC. 31, 2021

Answer all questions below. Attach additional pages and schedules as needed.

1. Complete a brief statement on the 2021/2021-2022 proposed Annual Budget and make comparison to the 2020/2020-2021 adopted budget for each Revenues and Appropriations. Explain any variances over +/-10% (**As shown on budget pages F-2 and F-4 explain the reason for changes for each revenue and appropriation changing more than 10%**) for each individual revenue and appropriation line item. Explanations of variances should include a description of the reason for the increase/decrease in the budgeted line item, not just an indication of the amount and percent of the change. Attach any supporting documentation that will help to explain the reason for the increase/decrease in the budgeted line item.

THE AUTHORITY HAS PROPOSED AN \$247,453 (1.7%) INCREASE IN TOTAL REVENUES ANTICIPATED AND PROPOSED APPROPRIATIONS OF \$14,763,465 IN THE 2021 BUDGET OVER THE ADOPTED 2020 REVENUES AND APPROPRIATIONS OF \$14,516,012. INTEREST EXPENSE DECREASED 13.3 PERCENT IN THE PROPOSED 2021 BUDGET DUE TO SCHEDULED DEBT SERVICE PAYMENTS. THERE WERE ALSO SEVERAL VARIANCES IN PROPOSED HEALTH BENEFITS (PAGE N-5) RELATING TO THE ANTICIPATED RETIREMENT OF THREE EMPLOYEES AND HIRING OF THEIR REPLACEMENTS.

2. Describe the state of the local/regional economy and how it may impact the proposed Annual Budget, including the planned Capital Budget/Program. (**Example Consider New Development projects such as Housing /Commercial projects impact on the Authorities expenses or revenues**)

THE LOCAL ECONOMY HAS BEEN STEADILY IMPROVING IN THE AREAS SERVICED BY THE AUTHORITY. THE NORTHWEST BERGEN COUNTY UTILITIES AUTHORITY HAS CONSIDERED THIS FACTOR WHILE PREPARING THE 2021 BUDGET.

3. Describe the reasons for utilizing Unrestricted Net Position in the proposed Annual Budget and or Capital Budget, i.e. rate stabilization, debt service reduction, to balance the budget, etc. If the Authority's budget anticipates a use of Unrestricted Net Position, this question must be answered.

THE AUTHORITY PLANS TO UTILIZE \$995,000 OF UNRESTRICTED NET POSITION IN THE 2021 CAPITAL BUDGET TO FUND VARIOUS IMPROVEMENTS TO THE FACILITY AND PURCHASE EQUIPMENT.

4. Identify any sources of funds transferred to the County/Municipality as a budget subsidy or a shared service payments, pilot payments, or other types of contracts or agreements (Example to provide police services to the Authority etc. and explain the reason for the transfer (i.e.: to balance the County/Municipality budget, etc.).

NOT APPLICABLE. THERE ARE NOT SUCH FUNDS INCLUDED IN THE 2021 BUDGET.

5. The proposed budget must not reflect an anticipated deficit from 2021/2021-2022 operations. If there exists an accumulated deficit from prior years' budgets (and funding is included in the proposed budget as a result of a prior deficit) explain the funding plan to eliminate said deficit (N.J.S.A. 40A:5A-12). If the Authority has a net deficit reported in its most recent audit, it must provide a deficit reduction plan in response to this question.

THE AUTHORITY REPORTED A (\$22,097,099) DEFICIT IN UNRESTRICTED, UNDESIGNATED NET POSITION IN THEIR AUDIT REPORT FOR THE YEAR ENDING DECEMBER 31, 2019. OF THIS DEFICIT, \$11,785,184 IS DIRECTLY ATTRIBUTABLE TO THE IMPLEMENTATION OF GASB STATEMENT NO. 68. \$15,144,237 IS DIRECTLY ATTRIBUTABLE TO THE IMPLEMENTATION OF GASB STATEMENT NO. 75. THE AUTHORITY WILL CONTINUE TO PAY THE ANNUAL PENSION AND HEALTH BENEFIT BILLS AND OVERTIME THE INCREASING AMOUNTS OF THESE PAYMENTS WILL FUND THE DEFICIT.

6. Attach a schedule of the Authority's existing rate structure (connection fees, parking fees, service charges, etc.) **if it has been changed since the prior year budget submission** and a schedule of the proposed rate structure for the upcoming fiscal year. Explain any proposed changes in the rate structure and attach the resolution approving the change in the rate structure, if applicable. (If no changes to fees or rates indicate (**Answer as "Rates Are Staying the Same"**))

THE AUTHORITY'S ANNUAL SERVICE CHARGES ARE CALCULATED ACCORDING TO A FORMULA IN WHICH 60% OF THE SERVICE CHARGES ARE BASED ON ADJUSTED METER FLOW, 20% ARE BASED ON WATER CONSUMPTION AND 20% ARE BASED ON WATER USAGE. THERE WILL BE NO CHANGE IN THIS FORMULA FOR THE 2021 SERVICE CHARGES. THE AUTHORITY'S CONNECTION FEE RATE WAS CHANGE SINCE THE PRIOR BUDGET SUBMISSION TO \$5,797 PER SERVICE UNIT. THE AUTHORITY DOES NOT ANTICIPATE AN INCREASE IN CONNECTION FEE INCOME IN 2021 OVER THE ANTICIPATED CONNECTION FEE INCOME IN THE 2020 BUDGET.

AUTHORITY CONTACT INFORMATION 2021 (2021-2022)

Please complete the following information regarding this Authority. **All** information requested below must be completed.

Name of Authority:	Northwest Bergen County Utilities Authority		
Federal ID Number:	22-1809103		
Address:	30 Wyckoff Avenue @ Authority Drive, PO Box 255		
City, State, Zip:	Waldwick	NJ	07463
Phone: (ext.)	201-447-2660	Fax:	201-447-0247

Preparer's Name:	James Rotundo, Executive Director		
Preparer's Address:	30 Wyckoff Avenue @ Authority Drive, PO Box 255		
City, State, Zip:	Waldwick	NJ	07463
Phone: (ext.)	201-447-2660	Fax:	201-447-0247
E-mail:	jrotundo@nbcua.com		

Chief Executive Officer:(1)	James Rotundo, Executive Director		
(1) Or person who performs these functions under another Title			
Phone: (ext.)	201-447-2660	Fax:	201-447-2660
E-mail:	jrotundo@nbcua.com		

Chief Financial Officer(1)	Todd Sherer, Treasurer		
(1) Or person who performs these functions under another Title			
Phone: (ext.)	201-447-2660	Fax:	201-447-0247
E-mail:			

Name of Auditor:	Steven Wielkotch		
Name of Firm:	Wielkotch & Company, LLC		
Address:	401 Wanaque Avenue		
City, State, Zip:	Pompton Lakes	NJ	07442
Phone: (ext.)	973-835-7900	Fax:	
E-mail:	sdw@w-cpa.com		

AUTHORITY INFORMATIONAL QUESTIONNAIRE

NORTHWEST BERGEN COUNTY UTILITIES AUTHORITY

(Name)

FISCAL YEAR: FROM: January 1, 2021 TO: December 31, 2021

Answer all questions below completely and attach additional information as required.

- 1) Provide the number of individuals employed in (Use Most Recent W-3 Available 2019 or 2020) as reported on the Authority's Form W-3, Transmittal of Wage and Tax Statements: **66**
- 2) Provide the amount of total salaries and wages as reported on the Authority's Form W-3, (Use Most Recent W-3 Available 2019 or 2020) Transmittal of Wage and Tax Statements: **\$4,349,769.73**
- 3) Provide the number of regular voting members of the governing body: **9** (Even if not all commissioners have been appointed (Total Commissioners are either 5 or 7 (*Regional Authorities may have more than 7 members*)) s per statute for your Authority)
- 4) Provide the number of alternate voting members of the governing body: **0** (Maximum is 2)
- 5) Did any person listed on Page N-4 have a family or business relationship with any other person listed on Page N-4 during the current fiscal year? **NO** If "yes," attach a description of the relationship including the names of the individuals involved and their positions at the Authority.
- 6) Did all individuals that were required to file a Financial Disclosure Statement for the current fiscal year (Most Recent Filing that March 31, 2020 or 2021 deadline has passed 2020 or 2021) because of their relationship with the Authority file the form as required? (Checked to see if individuals actually filed at <http://www.state.nj.us/dca/divisions/dlgs/resources/fds.html> before answering) **YES** If "no," provide a list of those individuals who failed to file a Financial Disclosure Statement and an explanation as to the reason for their failure to file.
- 7) Does the Authority have any amounts receivable from current or former commissioners, officers, key employees or highest compensated employees? **NO** If "yes," attach a list of those individuals, their position, the amount receivable, and a description of the amount due to the Authority.
- 8) Was the Authority a party to a business transaction with one of the following parties:
 - a. A current or former commissioner, officer, key employee, or highest compensated employee? **NO**
 - b. A family member of a current or former commissioner, officer, key employee, or highest compensated employee? **NO**
 - c. An entity of which a current or former commissioner, officer, key employee, or highest compensated employee (or family member thereof) was an officer or direct or indirect owner? **NO***If the answer to any of the above is "yes," attach a description of the transaction including the name of the commissioner, officer, key employee, or highest compensated employee (or family member thereof) of the Authority; the name of the entity and relationship to the individual or family member; the amount paid; and whether the transaction was subject to a competitive bid process.*
- 9) Did the Authority during the most recent fiscal year pay premiums, directly or indirectly, on a personal benefit contract? A personal benefit contract is generally any life insurance, annuity, or endowment contract that benefits, directly or indirectly, the transferor, a member of the transferor's family, or any other person designated by the transferor. **NO** If "yes," attach a description of the arrangement, the premiums paid, and indicate the beneficiary of the contract.
- 10) Explain the Authority's process for determining compensation for all persons listed on Page N-4. Include whether the Authority's process includes any of the following: 1) review and approval by the commissioners or a committee thereof; 2) study or survey of compensation data for comparable positions in similarly sized entities; 3) annual or periodic performance evaluation; 4) independent compensation consultant; and/or 5) written employment contract. **Attach a narrative of your Authorities procedures for all individuals listed on Page N-4 (2 of 2).**

- 11) Did the Authority pay for meals or catering during the current fiscal year? **YES** If “yes,” attach a detailed list of all meals and/or catering invoices for the current fiscal year and provide an explanation for each expenditure listed.
- 12) Did the Authority pay for travel expenses for any employee or individual listed on Page N-4? **YES** If “yes,” **attach a detailed list of all travel expenses** for the current fiscal year and provide an explanation for each expenditure listed.
- 13) Did the Authority provide any of the following to or for a person listed on Page N-4 or any other employee of the Authority?
- a. First class or charter travel **NO**
 - b. Travel for companions **NO**
 - c. Tax indemnification and gross-up payments **NO**
 - d. Discretionary spending account **NO**
 - e. Housing allowance or residence for personal use **NO**
 - f. Payments for business use of personal residence **NO**
 - g. Vehicle/auto allowance or vehicle for personal use **NO**
 - h. Health or social club dues or initiation fees **NO**
 - i. Personal services (i.e.: maid, chauffeur, chef) **NO**
- If the answer to any of the above is “yes,” attach a description of the transaction including the name and position of the individual and the amount expended.*
- 14) Did the Authority follow a written policy regarding payment or reimbursement for expenses incurred by employees and/or commissioners during the course of Authority business and does that policy require substantiation of expenses through receipts or invoices prior to reimbursement? **YES** If “no,” attach an explanation of the Authority’s process for reimbursing employees and commissioners for expenses. **(If your authority does not allow for reimbursements indicate that in answer)**
- 15) Did the Authority make any payments to current or former commissioners or employees for severance or termination? **NO** If “yes,” attach explanation including amount paid.
- 16) Did the Authority make any payments to current or former commissioners or employees that were contingent upon the performance of the Authority or that were considered discretionary bonuses? **NO** If “yes,” attach explanation including amount paid.
- 17) Did the Authority comply with its Continuing Disclosure Agreements for all debt issuances outstanding by submitting its audited annual financial statements, annual operating data, and notice of material events to the Municipal Securities Rulemaking Board’s Electronic Municipal Marketplace Access (EMMA) as required? **YES** If “no,” attach a description of the Authority’s plan to ensure compliance with its Continuing Disclosure Agreements in the future. **(If no bonded Debt answer is Not Applicable). (New Jersey Infrastructure Trust Loans are not bonded debt of an Authority)**
- 18) Did the Authority receive any notices from the Department of Environmental Protection or any other entity regarding maintenance or repairs required to the Authority’s systems to bring them into compliance with current regulations and standards that it has not yet taken action to remediate? **NO** If “yes,” attach explanation as to why the Authority has not yet undertaken the required maintenance or repairs and describe the Authority’s plan to address the conditions identified.
- 19) Did the Authority receive any notices of fines or assessments from the Department of Environmental Protection or any other entity due to noncompliance with current regulations (i.e.: sewer overflow, etc.)? **NO** If “yes,” attach a description of the event or condition that resulted in the fine or assessment and indicate the amount of the fine or assessment.

N-3 ATTACHMENT

QUESTION 10: Explain the Authority’s process for determining compensation for all persons listed on Page N-4. Include whether the Authority’s process includes any of the following: 1) review and approval by the commissioners or a committee thereof; 2) study or survey of compensation data for comparable positions in similarly sized entities; 3) annual or periodic performance evaluation; 4) independent compensation consultant; and/or 5) written employment contract. ***Attach a narrative of your Authorities procedures for all employees.***

ANSWER: Compensation is approved by the Commissioners after a review by a committee thereof.

QUESTION 11: Did the Authority pay for meals or catering during the current fiscal year? **YES** If “yes,” *attach a detailed list of all meals and/or catering invoices for the current fiscal year and provide an explanation for each expenditure listed.*

ANSWER:

Italian Riviera	3/5/2020	Lunch for Employee Training	\$250.36
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QUESTION 12: Did the Authority pay for travel expenses for any employee or individual listed on Page N-4? **YES** If “yes,” ***attach a detailed list of all travel expenses*** for the current fiscal year and provide an explanation for each expenditure listed.

<u>EMPLOYEE</u>	<u>DATE</u>	<u>EXPENSE COST</u>	<u>EXPLANATION</u>
James Rotundo	3/11/2020	\$323.84	AEA Conference

(This page is directions for filling in page (N-4 (2-of 2) (No answers should be entered on this page)

**AUTHORITY SCHEDULE OF COMMISSIONERS, OFFICERS, KEY EMPLOYEES,
HIGHEST COMPENSATED EMPLOYEES AND INDEPENDENT CONTRACTORS
NORTHWEST BERGEN COUNTY UTILITIES AUTHORITY**

(Name)

FISCAL YEAR: **FROM:** January 1, 2021 **TO:** December 31, 2021

Complete the attached table for all persons required to be listed per #1-4 below.

- 1) List all of the Authority's current commissioners and officers and amount of compensation from the Authority and any other public entities as defined below. Enter zero if no compensation was paid.
- 2) List all of the Authority's key employees and highest compensated employees other than a commissioner or officer as defined below and amount of compensation from the Authority and any other public entities.
- 3) List all of the Authority's former officers, key employees and highest compensated employees who received more than \$100,000 in reportable compensation from the Authority and any other public entities during the most recent fiscal year completed.
- 4) List all of the Authority's former commissioners who received more than \$10,000 in reportable compensation from the Authority and any other public entities during the most recent fiscal year completed.

Commissioner: A member of the governing body of the authority with voting rights. Include alternates for purposes of this schedule.

Officer: A person elected or appointed to manage the authority's daily operations at any time during the year, such as the chairperson, vice-chairperson, secretary, or treasurer. For the purposes of this schedule, treat the authority's top management official and top financial official as officers. A member of the governing body may be both a commissioner and an officer for the purposes of this schedule.

Key employee: An employee or independent contractor of the authority (other than a commissioner or officer) who meets both of the following criteria:

- a) The individual received reportable compensation from the authority and other public entities in excess of \$150,000 for the most recent fiscal year completed; and
- b) The individual has responsibilities or influence over the authority as a whole or has power to control or determine 10% or more of the authority's capital expenditures or operating budget.

Highest compensated employee: One of the five highest compensated employees or independent contractors of the authority other than current commissioners, officers, or key employees whose aggregate reportable compensation from the authority and other public entities is greater than \$100,000 for the most recent fiscal year completed.

Compensation: All forms of cash and non-cash payments or benefits provided in exchange for services, including salaries and wages, bonuses, severance payments, deferred payments, retirement benefits, fringe benefits, and other financial arrangements or transactions such as personal vehicles, meals, housing, personal and family education benefits, below-market loans, payment of personal or family travel, entertainment, and personal use of the Authority's property. Compensation includes payments and other benefits provided to both employees and independent contractors in exchange for services.

Reportable compensation: (Use the Most Recent W-2 available 2019 or 2020. The aggregate compensation that is reported (or is required to be reported) on Form W-2, box 1 or 5, whichever amount is greater, and/or Form 1099-MISC, box 7, for the most recent calendar year ended 60 days before the start of the proposed budget year. For example, for fiscal years ending December 31, 2021, the most recent W-2 and 1099 should be used 2020 or 2019 (60 days prior to start of budget year is November 1, 2020, with 2019 being the most recent calendar year ended), and for fiscal years ending June 30, 2021, the calendar year 2020 W-2 and 1099 should be used (60 days prior to start of budget year is May 1, 2021, with 2020 being the most recent calendar year ended).

Other Public Entity: Any municipality, county, local authority, fire district, or other government unit, regardless of whether it is related in any way to the Authority either by function or by physical location.

Authority Schedule of Commissioners, Officers, Key Employees, Highest Compensated Employees and Independent Contractors (Continued)

Northwest Bergen County Utilities Authority

For the Period January 1, 2021 to December 31, 2021

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T
Name	Title	Average Hours per Week Dedicated to Position	Position (Can Check more than 1 Column for each person)	Commissioner	Officer	Key Employee	Highest Compensated Employee	Former	Base Salary/ Stipend	Bonus	Other (auto allowance, expense account, payment in lieu of health benefits, etc.)	Estimated amount of other compensation from the Authority (health benefits, pension, etc.)	Total Compensation from Authority	Names of Other Public Entities where Individual is an Employee or Member of the Governing Body (1) See note below	Positions held at Other Public Entities Listed in Column O	Average Hours per Week Dedicated to Positions at Other Public Entities Listed in Column O	Reportable Compensation from Other Public Entities (W-2/ 1099)	Estimated amount of other compensation from Other Public Entities (health benefits, pension, payment in lieu of health benefits, etc.)	Total Compensation All Public Entities
1													\$ -						\$ -
2													0						0
3													0						0
4													0						0
5													0						0
6													0						0
7													0						0
8													0						0
9													0						0
10													0						0
11													0						0
12													0						0
13													0						0
14													0						0
15													0						0
Total:									\$ -	\$ -	\$ -	\$ -	\$ -				\$ -	\$ -	\$ -

(1) Insert "None" in this column for each individual that does not hold a position with another Public Entity

Authority Schedule of Commissioners, Officers, Key Employees, Highest Compensated Employees and Independent Contractors
Northwest Bergen County Utilities Authority
For the Period: January 1, 2021 to December 31, 2021

Name	Title	Average Hours per Week Dedicated to Position	Position (Can Check more than 1 Column for each person)				Reportable Compensation from Authority (W-2/ 1099)			Estimated amount of other compensation from the Authority (health benefits, pension, etc.)	Total Compensation from Authority	Names of Other Public Entities where Individual is an Employee or Member of the Governing Body (1) See note below	Positions held at Other Public Entities Listed in Column O	Average Hours per Week Dedicated to Positions at Other Public Entities Listed in Column O	Reportable Compensation from Other Public Entities (W-2/ 1099)	Estimated amount of other compensation from Other Public Entities (health benefits, pension, payment in lieu of health benefits, etc.)	Total Compensation All Public Entities
			Commissioner	Officer	Key Employee	Highest Compensated Employee	Former	Base Salary/ Stipend	Bonus								
Michael Kasparian	Chairman	1	X					\$ 7,083		\$ 542	\$ 7,625	None					\$ 7,625
Frank Kelaheer	Vice-Chairman	1	X					5,000		383	5,383	None					5,383
Dennis Bonagura	Commissioner	1	X					0		0	0	None					0
Thomas Duch	Commissioner	1	X					0		0	0	City of Garfield	City Manager	32.5	213,191	39,286	
Thomas Duch (cont.)								0		0	0	Garfield Redevelop.	Executive Dir.	15	12,000	none	
Thomas Duch (cont.)								0		0	0	Garfield Redevelop.	Secretary	5	5,000	none	269,477
Matthew Jordan	Commissioner	1	X					0		0	0	County of Passaic	County Counsel	40	153,920		153,920
Stephen Lo Iacono	Commissioner	1	X					0		0	0	None					0
Bart Mongelli	Commissioner	1	X					0		0	0	None					0
Marianne Ortega	Commissioner	1	X					0		0	0	County of Bergen	Asst. County Counsel	40	77,000	none	77,000
Marion Plumley	Commissioner	1	X					5,000		383	5,383	None					5,383
Todd Sherer	Treasurer	1		X				4,925		31,206	36,131	None					36,131
James Rotundo	Executive Director	35		X				142,152		28,430	170,582	None					170,582
Alison Gordon	Board Secretary	2		X				5,000		383	5,383	NBCUA	Admin. Asst.	35	84,684	16,937	107,004
Robert Genetelli	Superintendent	40			X			155,604		31,121	186,725	Borough of Haworth	Licensed Operator	<2	2,000	none	
Robert Genetelli (Cont.)										0	0	Rutgers University	Adjunct Prof.	<2	7,000	none	195,725
Total:								\$ 324,764	\$ -	\$ -	\$ 92,448	\$ 417,212			\$ 554,795	\$ 56,223	\$ 1,028,230

**Based upon information received as of October 20, 2020.

Schedule of Health Benefits - Detailed Cost Analysis

Northwest Bergen County Utilities Authority

For the Period January 1, 2021 to December 31, 2021

If Not Applicable X this box Below

	# of Covered Members (Medical & Rx) Proposed Budget	Annual Cost		# of Covered Members (Medical & Rx) Current Year	Annual Cost per Employee Current Year	Total Prior year Year Cost	\$ Increase (Decrease)	% Increase (Decrease)
		Estimate per Employee Proposed Budget	Total Cost Estimate Proposed Budget					
Active Employees - Health Benefits - Annual Cost								
Single Coverage	8	\$ 11,271	\$ 90,168	12	\$ 11,066	\$ 132,792	\$ (42,624)	-32.1%
Parent & Child	6	20,174	121,044	3	19,601	58,803	62,241	105.8%
Employee & Spouse (or Partner)	11	22,541	247,951	7	22,033	154,231	93,720	60.8%
Family	24	31,445	754,680	27	30,676	828,252	(73,572)	-8.9%
Employee Cost Sharing Contribution (enter as negative -)			(60,000)			(60,000)	-	0.0%
Subtotal	49		1,153,843	49		1,114,078	39,765	3.6%
Commissioners - Health Benefits - Annual Cost								
Single Coverage			-			-	-	#DIV/0!
Parent & Child			-			-	-	#DIV/0!
Employee & Spouse (or Partner)			-			-	-	#DIV/0!
Family			-			-	-	#DIV/0!
Employee Cost Sharing Contribution (enter as negative -)							-	#DIV/0!
Subtotal	0		-	0		-	-	#DIV/0!
Retirees - Health Benefits - Annual Cost								
Single Coverage	9	4,225	38,025	7	3,806	26,642	11,383	42.7%
Parent & Child			-			-	-	#DIV/0!
Employee & Spouse (or Partner)	8	10,185	81,480	8	9,176	73,408	8,072	11.0%
Family	6	25,437	152,622	5	22,916	114,580	38,042	33.2%
Employee Cost Sharing Contribution (enter as negative -)							-	#DIV/0!
Subtotal	23		272,127	20		214,630	57,497	26.8%
GRAND TOTAL	72		\$ 1,425,970	69		\$ 1,328,708	\$ 97,262	7.3%

Is medical coverage provided by the SHBP (Yes or No)? (Place Answer in Box)
 Is prescription drug coverage provided by the SHBP (Yes or No)? (Place Answer in Box)

Yes	Yes or No
Yes	Yes or No

Note: Remember to Enter an amount in rows for Employee Cost Sharing

Schedule of Accumulated Liability for Compensated Absences

Northwest Bergen County Utilities Authority
 For the Period January 1, 2021 to December 31, 2021

Complete the below table for the Authority's accrued liability for compensated absences.

X Box if Authority has no Compensated Absences

*Legal Basis for Benefit
(check applicable items)*

Individuals Eligible for Benefit	Gross Days of Accumulated Compensated Absences at End of Last Issued Audit Report	Dollar Value of Accrued Compensated Absence Liability	Approved Labor Agreement	Resolution	Individual Employment Agreement
See attached schedule "N-6a"		\$ 289,855			
Total liability for accumulated compensated absences at beginning of current year		<u>\$ 289,855</u>			

The total Amount Should agree to most recently issued audit report for the Authority

Schedule of Accumulated Liability for Compensated Absences (Detail)

Northwest Bergen County Utilities Authority
For the Period January 1, 2021 to December 31, 2021

Complete the below table for the Authority's accrued liability for compensated absences.

Legal Basis for Benefit (check applicable items)

Individuals Eligible for Benefit	Gross Days of Accumulated Compensated Absences at beginning of Current Year	Dollar Value of Accrued Compensated Absence Liability	Approved Labor Agreement	Resolution	Individual Employment Agreement
Agugliaro	25	\$ 8,461		X	
Brehm	8	\$ 2,376	x		
Brewer	52	\$ 16,482		x	
Capawana	38	\$ 10,232	x		
Capsouras	54	\$ 17,254	x		
Coveney	9	\$ 2,365	x		
DeRienzo	12	\$ 4,186		x	
DiClemente	10	\$ 1,913	x		
Eletto	9	\$ 3,313		x	
Eller	2	\$ 387	x		
Fabbricatore	14	\$ 4,942		x	
Frank	2	\$ 679	x		
Gascon, J	14	\$ 4,240	x		
Gascon, M	22	\$ 6,599	x		
Genetelli	28	\$ 15,954		x	
Gordon	12	\$ 4,478		x	
Graziano	54	\$ 16,114	x		
Grosso	9	\$ 1,733	x		
Henry	32	\$ 9,023	x		
Hurwitz	25	\$ 16,830		X	
James	54	\$ 15,966	x		
Kacmar	31	\$ 11,768		X	
Lerch	19	\$ 6,755		X	
LiGregni	7	\$ 1,771		x	
Morelli	18	\$ 5,430	x		
Morgan	29	\$ 8,614	x		
Nacion	26	\$ 7,723	x		
Navea	4	\$ 1,154	x		
Nelson	22	\$ 7,317	x		
Nunes	7	\$ 2,031	x		
Nye	24	\$ 6,605	x		
Oratio	3	\$ 708		x	
Oravetz	10	\$ 2,878	x		
Rakocy	15	\$ 4,456	x		
Richter	15	\$ 4,733	x		
Rotundo	25	\$ 16,173			x
Sacharoff	39	\$ 12,548	x		
Stefancik, J	8	\$ 2,292	x		
Stefancik, W	14	\$ 4,505	x		
Toledo-Sanchez	8	\$ 2,215	x		
Van Der Stad	31	\$ 9,097	x		
Varsolona	2	\$ 4,809		x	
Walker	9	\$ 2,746		X	
Total liability for accumulated compensated absences at beginning of current year		\$ 289,855			

Schedule of Shared Service Agreements

Northwest Bergen County Utilities Authority

For the Period

January 1, 2021

to

December 31, 2021

If No Shared Services X this Box

Enter the shared service agreements that the Authority currently engages in and identify the amount that is received/paid for those services.

Name of Entity Providing Service	Name of Entity Receiving Service	Type of Shared Service Provided	Comments (Enter more specifics if needed)	Agreement Effective Date	Agreement End Date	Amount to be Received by/ Paid from Authority
See Attached Sheet N-7a						

Name of Entity Providing Service	Name of Entity Receiving Service	Type of Shared Service Provided	Comments (enter more specifics if needed)	Agreement Effective Date	Agreement End Date
County of Bergen	NBCUA	Shared Services Agmt		12/1/2019	12/1/2025
NBCUA	Borough of Allendale	Shared Services Agmt		1/1/2020	12/31/2020
NBCUA	Borough of Saddle River	Shared Services Agmt		1/1/2019	12/31/2020
NBCUA	Borough of Dumont	Shared Services Agmt		9/1/2020	8/31/2022
NBCUA	Borough of Emerson	Shared Services Agmt		1/1/2019	12/31/2020
NBCUA	Borough of Midland Park	Shared Services Agmt		10/11/2020	10/10/2021
NBCUA	Borough of Upper Saddle River	Shared Services Agmt		3/8/2019	12/31/2020
NBCUA	Township of Wyckoff	Shared Services Agmt		11/1/2019	10/31/2021
NBCUA	Borough of Waldwick	Shared Services Agmt		1/1/2020	12/31/2020
NBCUA	Borough of Westwood	Shared Services Agmt		1/1/2019	12/31/2020
NBCUA	Borough of Franklin Lakes	Shared Services Agmt		6/1/2020	5/31/2021
NBCUA	BCUA	TV Inspection Agmt		1.1.2019	12.31.2020
NBCUA	BCUA	Sewer Jet Agreement		1.1.2019	12.31.2020
NBCUA	Borough of Allendale	TV Inspection Agmt		1.1.2019	12.31.2020
NBCUA	Borough of Allendale	Sewer Jet Agreement		1.1.2019	12.31.2020
NBCUA	Borough of Bergenfield	TV Inspection Agmt		1.1.2019	12.31.2020
NBCUA	Borough of Bergenfield	Sewer Jet Agreement		1.1.2019	12.31.2020
NBCUA	Borough of Closter	TV Inspection Agmt		1.1.2019	12.31.2020
NBCUA	Borough of Cresskill	TV Inspection Agmt		1.1.2019	12.31.2020
NBCUA	Borough of Demarest	TV Inspection Agmt		1.1.2019	12.31.2020
NBCUA	Borough of Demarest	Sewer Jet Agreement		1.1.2019	12.31.2020
NBCUA	Borough of Dumont	TV Inspection Agmt		1.1.2019	12.31.2020
NBCUA	Borough of Emerson	TV Inspection Agmt		1.1.2019	12.31.2020
NBCUA	Borough of Fair Lawn	TV Inspection Agmt		1.1.2019	12.31.2020
NBCUA	Borough of Fair Lawn	Sewer Jet Agreement		1.1.2019	12.31.2020
NBCUA	Borough of Franklin Lakes	TV Inspection Agmt		1.1.2019	12.31.2020
NBCUA	Borough of Franklin Lakes	Sewer Jet Agreement		1.1.2019	12.31.2020
NBCUA	City of Hackensack	TV Inspection Agmt		1.1.2019	12.31.2020
NBCUA	City of Hackensack	Sewer Jet Agreement		1.1.2019	12.31.2020
NBCUA	Borough of Haworth	Sewer Jet Agreement		1.1.2019	12.31.2020
NBCUA	Borough of Haworth	TV Inspection Agmt		1.1.2019	12.31.2020
NBCUA	Borough of Hillsdale	TV Inspection Agmt		1.1.2019	12.31.2020
NBCUA	Borough of Hillsdale	Sewer Jet Agreement		1.1.2019	12.31.2020
NBCUA	Borough of Little Ferry	Sewer Jet Agreement		1.1.2019	12.31.2020
NBCUA	Borough of Little Ferry	TV Inspection Agmt		1.1.2019	12.31.2020
NBCUA	Township of Mahwah	TV Inspection Agmt		1.1.2019	12.31.2020
NBCUA	Borough of Midland Park	TV Inspection Agmt		1.1.2019	12.31.2020
NBCUA	Borough of Midland Park	Sewer Jet Agreement		1.1.2019	12.31.2020
NBCUA	Borough of New Milford	TV Inspection Agmt		1.1.2019	12.31.2020
NBCUA	Borough of New Milford	Sewer Jet Agreement		1.1.2019	12.31.2020
NBCUA	Borough of Oakland	TV Inspection Agmt		1.1.2019	12.31.2020
NBCUA	Borough of Oakland	Sewer Jet Agreement		1.1.2019	12.31.2020
NBCUA	Borough of Oradell	TV Inspection Agmt		1.1.2019	12.31.2020
NBCUA	Borough of Oradell	Sewer Jet Agreement		1.1.2019	12.31.2020
NBCUA	Borough of Palisades Park	TV Inspection Agmt		1.1.2019	12.31.2020
NBCUA	Borough of Palisades Park	Sewer Jet Agreement		1.1.2019	12.31.2020
NBCUA	Borough of Park Ridge	TV Inspection Agmt		1.1.2019	12.31.2020
NBCUA	Borough of Park Ridge	Sewer Jet Agreement		1.1.2019	12.31.2020
NBCUA	Village of Ridgewood	TV Inspection Agmt		1.1.2019	12.31.2020
NBCUA	Borough of River Edge	TV Inspection Agmt		1.1.2019	12.31.2020
NBCUA	Township of Rochelle Park	TV Inspection Agmt		1.1.2019	12.31.2020
NBCUA	Borough of Rutherford	TV Inspection Agmt		1.1.2019	12.31.2020
NBCUA	South Hackensack	TV Inspection Agmt		1.1.2019	12.31.2020
NBCUA	South Hackensack	Sewer Jet Agreement		1.1.2019	12.31.2020
NBCUA	Borough of Upper Saddle River	TV Inspection Agmt		1.1.2019	12.31.2020
NBCUA	Borough of Upper Saddle River	Sewer Jet Agreement		1.1.2019	12.31.2020
NBCUA	Borough of Waldwick	TV Inspection Agmt		1.1.2019	12.31.2020
NBCUA	Westwood	TV Inspection Agmt		1.1.2019	12.31.2020
NBCUA	Borough of Woodcliff Lake	TV Inspection Agmt		1.1.2019	12.31.2020
NBCUA	Township of Wyckoff	TV Inspection Agmt		1.1.2019	12.31.2020
NBCUA	Township of Wyckoff	Sewer Jet Agreement		1.1.2019	12.31.2020

2021 (2021-2022) AUTHORITY BUDGET

Financial Schedules Section

SUMMARY

Northwest Bergen County Utilities Authority
For the Period January 1, 2021 to December 31, 2021

	FY 2021 Proposed Budget						FY 2020 Adopted Budget	<i>\$ Increase (Decrease)</i> Proposed vs. Adopted	<i>% Increase (Decrease)</i> Proposed vs. Adopted	
	Wastewater Treatment	N/A	N/A	N/A	N/A	N/A	Total All Operations	Total All Operations	All Operations	All Operations
REVENUES										
Total Operating Revenues	\$ 14,723,465	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 14,723,465	\$ 14,476,012	\$ 247,453	1.7%
Total Non-Operating Revenues	40,000	-	-	-	-	-	40,000	40,000	-	0.0%
Total Anticipated Revenues	<u>14,763,465</u>	-	-	-	-	-	<u>14,763,465</u>	<u>14,516,012</u>	<u>247,453</u>	1.7%
APPROPRIATIONS										
Total Administration	2,125,400	-	-	-	-	-	2,125,400	2,075,680	49,720	2.4%
Total Cost of Providing Services	9,788,775	-	-	-	-	-	9,788,775	9,677,675	111,100	1.1%
Total Principal Payments on Debt Service in Lieu of Depreciation	1,696,626	-	-	-	-	-	1,696,626	1,662,625	34,001	2.0%
Total Operating Appropriations	13,610,801	-	-	-	-	-	13,610,801	13,415,980	194,821	1.5%
Total Interest Payments on Debt	276,664	-	-	-	-	-	276,664	319,032	(42,368)	-13.3%
Total Other Non-Operating Appropriations	876,000	-	-	-	-	-	876,000	781,000	95,000	12.2%
Total Non-Operating Appropriations	<u>1,152,664</u>	-	-	-	-	-	<u>1,152,664</u>	<u>1,100,032</u>	<u>52,632</u>	4.8%
Accumulated Deficit	-	-	-	-	-	-	-	-	-	#DIV/0!
Total Appropriations and Accumulated Deficit	<u>14,763,465</u>	-	-	-	-	-	<u>14,763,465</u>	<u>14,516,012</u>	<u>247,453</u>	1.7%
Less: Total Unrestricted Net Position Utilized	-	-	-	-	-	-	-	-	-	#DIV/0!
Net Total Appropriations	<u>14,763,465</u>	-	-	-	-	-	<u>14,763,465</u>	<u>14,516,012</u>	<u>247,453</u>	1.7%
ANTICIPATED SURPLUS (DEFICIT)	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	#DIV/0!

Revenue Schedule

Northwest Bergen County Utilities Authority

For the Period January 1, 2021 to December 31, 2021

	FY 2021 Proposed Budget						Total All Operations	FY 2020 Adopted Budget	Proposed vs. Adopted	% Increase (Decrease) Proposed vs. Adopted
	Wastewater Treatment	N/A	N/A	N/A	N/A	N/A		Total All Operations	All Operations	All Operations
OPERATING REVENUES										
<i>Service Charges</i>										
Residential	13,468,465						\$ 13,468,465	\$ 13,221,012	\$ 247,453	1.9%
Business/Commercial							-	-	-	#DIV/0!
Industrial							-	-	-	#DIV/0!
Intergovernmental							-	-	-	#DIV/0!
Other							-	-	-	#DIV/0!
Total Service Charges	13,468,465	-	-	-	-	-	13,468,465	13,221,012	247,453	1.9%
<i>Connection Fees</i>										
Residential	100,000						100,000	100,000	-	0.0%
Business/Commercial	300,000						300,000	300,000	-	0.0%
Industrial							-	-	-	#DIV/0!
Intergovernmental							-	-	-	#DIV/0!
Other							-	-	-	#DIV/0!
Total Connection Fees	400,000	-	-	-	-	-	400,000	400,000	-	0.0%
<i>Parking Fees</i>										
Meters							-	-	-	#DIV/0!
Permits							-	-	-	#DIV/0!
Fines/Penalties							-	-	-	#DIV/0!
Other							-	-	-	#DIV/0!
Total Parking Fees	-	-	-	-	-	-	-	-	-	#DIV/0!
<i>Other Operating Revenues (List)</i>										
Outside Septage	650,000						650,000	650,000	-	0.0%
Solar Renewable Energy Credits	70,000						70,000	70,000	-	0.0%
IPP Permits	25,000						25,000	25,000	-	0.0%
Collections System Operation	50,000						50,000	50,000	-	0.0%
Miscellaneous Income	60,000						60,000	60,000	-	0.0%
							-	-	-	#DIV/0!
							-	-	-	#DIV/0!
							-	-	-	#DIV/0!
							-	-	-	#DIV/0!
							-	-	-	#DIV/0!
							-	-	-	#DIV/0!
Total Other Revenue	855,000	-	-	-	-	-	855,000	855,000	-	0.0%
Total Operating Revenues	14,723,465	-	-	-	-	-	14,723,465	14,476,012	247,453	1.7%
NON-OPERATING REVENUES										
<i>Other Non-Operating Revenues (List)</i>										
Type in							-	-	-	#DIV/0!
Type in							-	-	-	#DIV/0!
Type in							-	-	-	#DIV/0!
Type in							-	-	-	#DIV/0!
Type in							-	-	-	#DIV/0!
Type in							-	-	-	#DIV/0!
Total Other Non-Operating Revenue	-	-	-	-	-	-	-	-	-	#DIV/0!
<i>Interest on Investments & Deposits (List)</i>										
Interest Earned	40,000						40,000	40,000	-	0.0%
Penalties							-	-	-	#DIV/0!
Other							-	-	-	#DIV/0!
Total Interest	40,000	-	-	-	-	-	40,000	40,000	-	0.0%
Total Non-Operating Revenues	40,000	-	-	-	-	-	40,000	40,000	-	0.0%
TOTAL ANTICIPATED REVENUES	\$ 14,763,465	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 14,763,465	\$ 14,516,012	\$ 247,453	1.7%

Prior Year Adopted Revenue Schedule

Northwest Bergen County Utilities Authority

FY 2020 Adopted Budget

	Wastewater Treatment	N/A	N/A	N/A	N/A	N/A	Total All Operations
OPERATING REVENUES							
<i>Service Charges</i>							
Residential	13,221,012						\$ 13,221,012
Business/Commercial							-
Industrial							-
Intergovernmental							-
Other							-
Total Service Charges	13,221,012	-	-	-	-	-	13,221,012
<i>Connection Fees</i>							
Residential	100,000						100,000
Business/Commercial	300,000						300,000
Industrial							-
Intergovernmental							-
Other							-
Total Connection Fees	400,000	-	-	-	-	-	400,000
<i>Parking Fees</i>							
Meters							-
Permits							-
Fines/Penalties							-
Other							-
Total Parking Fees	-	-	-	-	-	-	-
<i>Other Operating Revenues (List)</i>							
Outside Septage	650,000						650,000
Solar Renewable Energy Credits	70,000						70,000
IPP Permits	25,000						25,000
Collections System Operation	50,000						50,000
Miscellaneous Income	60,000						60,000
							-
							-
							-
							-
							-
Total Other Revenue	855,000	-	-	-	-	-	855,000
Total Operating Revenues	14,476,012	-	-	-	-	-	14,476,012
NON-OPERATING REVENUES							
<i>Other Non-Operating Revenues (List)</i>							
Type in							-
Type in							-
Type in							-
Type in							-
Type in							-
Type in							-
Total Other Non-Operating Revenues	-	-	-	-	-	-	-
<i>Interest on Investments & Deposits</i>							
Interest Earned	40,000						40,000
Penalties							-
Other							-
Total Interest	40,000	-	-	-	-	-	40,000
Total Non-Operating Revenues	40,000	-	-	-	-	-	40,000
TOTAL ANTICIPATED REVENUES	\$ 14,516,012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 14,516,012

Appropriations Schedule

Northwest Bergen County Utilities Authority

For the Period January 1, 2021 to December 31, 2021

	FY 2021 Proposed Budget					Total All Operations	FY 2020 Adopted Budget	\$ Increase (Decrease) Proposed vs. Adopted	% Increase (Decrease) Proposed vs. Adopted
	Wastewater Treatment	N/A	N/A	N/A	N/A				
OPERATING APPROPRIATIONS									
<i>Administration - Personnel</i>									
Salary & Wages	\$ 735,400					\$ 735,400	\$ 717,080	\$ 18,320	2.6%
Fringe Benefits	447,000					447,000	420,600	26,400	6.3%
Total Administration - Personnel	1,182,400	-	-	-	-	1,182,400	1,137,680	44,720	3.9%
<i>Administration - Other (List)</i>									
Professionals	600,000					600,000	600,000	-	0.0%
Communications	67,000					67,000	67,000	-	0.0%
Executive	13,000					13,000	13,000	-	0.0%
Management	263,000					263,000	258,000	5,000	1.9%
Miscellaneous Administration*						-	-	-	#DIV/0!
Total Administration - Other	943,000	-	-	-	-	943,000	938,000	5,000	0.5%
Total Administration	2,125,400	-	-	-	-	2,125,400	2,075,680	49,720	2.4%
<i>Cost of Providing Services - Personnel</i>									
Salary & Wages	3,654,000					3,654,000	3,700,000	(46,000)	-1.2%
Fringe Benefits	2,221,775					2,221,775	2,170,175	51,600	2.4%
Total COPS - Personnel	5,875,775	-	-	-	-	5,875,775	5,870,175	5,600	0.1%
<i>Cost of Providing Services - Other (List)</i>									
See Sheet F-4a	3,913,000					3,913,000	3,807,500	105,500	2.8%
Type in Description						-	-	-	#DIV/0!
Type in Description						-	-	-	#DIV/0!
Type in Description						-	-	-	#DIV/0!
Miscellaneous COPS*						-	-	-	#DIV/0!
Total COPS - Other	3,913,000	-	-	-	-	3,913,000	3,807,500	105,500	2.8%
Total Cost of Providing Services	9,788,775	-	-	-	-	9,788,775	9,677,675	111,100	1.1%
Total Principal Payments on Debt Service in Lieu of Depreciation	1,696,626	-	-	-	-	1,696,626	1,662,625	34,001	2.0%
Total Operating Appropriations	13,610,801	-	-	-	-	13,610,801	13,415,980	194,821	1.5%
NON-OPERATING APPROPRIATIONS									
Total Interest Payments on Debt	276,664	-	-	-	-	276,664	319,032	(42,368)	-13.3%
Operations & Maintenance Reserve						-	-	-	#DIV/0!
Renewal & Replacement Reserve	876,000					876,000	781,000	95,000	12.2%
Municipality/County Appropriation						-	-	-	#DIV/0!
Other Reserves						-	-	-	#DIV/0!
Total Non-Operating Appropriations	1,152,664	-	-	-	-	1,152,664	1,100,032	52,632	4.8%
TOTAL APPROPRIATIONS	14,763,465	-	-	-	-	14,763,465	14,516,012	247,453	1.7%
ACCUMULATED DEFICIT						-	-	-	#DIV/0!
TOTAL APPROPRIATIONS & ACCUMULATED DEFICIT	14,763,465	-	-	-	-	14,763,465	14,516,012	247,453	1.7%
UNRESTRICTED NET POSITION UTILIZED									
Municipality/County Appropriation						-	-	-	#DIV/0!
Other						-	-	-	#DIV/0!
Total Unrestricted Net Position Utilized						-	-	-	#DIV/0!
TOTAL NET APPROPRIATIONS	\$ 14,763,465	\$ -	\$ -	\$ -	\$ -	\$ 14,763,465	\$ 14,516,012	\$ 247,453	1.7%

* Miscellaneous line items may not exceed 5% of total operating appropriations shown below. If amount in miscellaneous is greater than the amount shown below, then the line item must be itemized above.

5% of Total Operating Appropriations \$ 680,540.05 \$ - \$ - \$ - \$ - \$ - \$ 680,540.05

	FY 2021 Proposed Budget						FY 2020	\$ Increase	% Increase
	Wastewater					Total All	Adopted	(Decrease)	(Decrease)
OPERATING APPROPRIATIONS	Treatment	N/A	N/A	N/A	N/A	Operations	Budget	Proposed vs.	Proposed vs.
<i>Cost of Providing Services - Other (List)</i>							Adopted	Adopted	Adopted
Utilities	1,182,000					1,182,000	1,167,000	15,000	1.3%
Insurance	175,000					175,000	170,000	5,000	2.9%
Vehicles	81,000					81,000	82,500	(1,500)	-1.8%
Collection System	500,000					500,000	500,000	-	0.0%
Sewage System and Laboratory	537,000					537,000	513,000	24,000	4.7%
Solids Disposal	1,240,000					1,240,000	1,185,000	55,000	4.6%
Buildings and Grounds/Roads	198,000					198,000	190,000	8,000	4.2%
Total COPS - Other	3,913,000	-	-	-	-	3,913,000	3,807,500	105,500	2.8%
							-	-	-

Prior Year Adopted Appropriations Schedule

Northwest Bergen County Utilities Authority

FY 2020 Adopted Budget

	Wastewater Treatment	N/A	N/A	N/A	N/A	N/A	Total All Operations
OPERATING APPROPRIATIONS							
<i>Administration - Personnel</i>							
Salary & Wages	\$ 717,080						\$ 717,080
Fringe Benefits	420,600						420,600
Total Administration - Personnel	1,137,680	-	-	-	-	-	1,137,680
<i>Administration - Other (List)</i>							
Professionals	600,000						600,000
Communications	67,000						67,000
Executive	13,000						13,000
Management	258,000						258,000
Miscellaneous Administration*							-
Total Administration - Other	938,000	-	-	-	-	-	938,000
Total Administration	2,075,680	-	-	-	-	-	2,075,680
<i>Cost of Providing Services - Personnel</i>							
Salary & Wages	3,700,000						3,700,000
Fringe Benefits	2,170,175						2,170,175
Total COPS - Personnel	5,870,175	-	-	-	-	-	5,870,175
<i>Cost of Providing Services - Other (List)</i>							
Other COPS	3,807,500						3,807,500
Type In Description							-
Type In Description							-
Type In Description							-
Miscellaneous COPS*							-
Total COPS - Other	3,807,500	-	-	-	-	-	3,807,500
Total Cost of Providing Services	9,677,675	-	-	-	-	-	9,677,675
Total Principal Payments on Debt Service in Lieu of Depreciation	1,662,625	-	-	-	-	-	1,662,625
Total Operating Appropriations	13,415,980	-	-	-	-	-	13,415,980
NON-OPERATING APPROPRIATIONS							
Total Interest Payments on Debt	319,032	-	-	-	-	-	319,032
Operations & Maintenance Reserve							-
Renewal & Replacement Reserve	781,000						781,000
Municipality/County Appropriation							-
Other Reserves							-
Total Non-Operating Appropriations	1,100,032	-	-	-	-	-	1,100,032
TOTAL APPROPRIATIONS	14,516,012	-	-	-	-	-	14,516,012
ACCUMULATED DEFICIT							-
TOTAL APPROPRIATIONS & ACCUMULATED DEFICIT	14,516,012	-	-	-	-	-	14,516,012
UNRESTRICTED NET POSITION UTILIZED							
Municipality/County Appropriation	-	-	-	-	-	-	-
Other							-
Total Unrestricted Net Position Utilized	-	-	-	-	-	-	-
TOTAL NET APPROPRIATIONS	\$14,516,012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 14,516,012

* Miscellaneous line items may not exceed 5% of total operating appropriations shown below. If amount in miscellaneous is greater than the amount shown below, then the line item must be itemized above.

5% of Total Operating Appropriations \$670,799.00 \$ - \$ - \$ - \$ - \$ - \$ 670,799.00

Debt Service Schedule - Principal

Northwest Bergen County Utilities Authority

If Authority has no debt X this box

Fiscal Year Ending in

	Adopted Budget Year 2020	Proposed Budget Year 2021	2022	2023	2024	2025	2026	Thereafter	Total Principal Outstanding
<i>Wastewater Treatment</i>									
Bonds (see Sheet F-6a)	\$ 759,774	\$ 774,774	\$ 635,000	\$ 660,000	\$ 690,000				\$ 2,759,774
Loans (see Sheet F-6a)	902,851	921,852	935,287	948,225	962,086	975,870	989,570	7,016,649	12,749,539
Type in Issue Name									-
Type in Issue Name									-
Total Principal	1,662,625	1,696,626	1,570,287	1,608,225	1,652,086	975,870	989,570	7,016,649	15,509,313
<i>N/A</i>									
Type in Issue Name									-
Type in Issue Name									-
Type in Issue Name									-
Type in Issue Name									-
Total Principal	-	-	-	-	-	-	-	-	-
<i>N/A</i>									
Type in Issue Name									-
Type in Issue Name									-
Type in Issue Name									-
Type in Issue Name									-
Total Principal	-	-	-	-	-	-	-	-	-
<i>N/A</i>									
Type in Issue Name									-
Type in Issue Name									-
Type in Issue Name									-
Type in Issue Name									-
Total Principal	-	-	-	-	-	-	-	-	-
<i>N/A</i>									
Type in Issue Name									-
Type in Issue Name									-
Type in Issue Name									-
Type in Issue Name									-
Total Principal	-	-	-	-	-	-	-	-	-
TOTAL PRINCIPAL ALL OPERATIONS	\$ 1,662,625	\$ 1,696,626	\$ 1,570,287	\$ 1,608,225	\$ 1,652,086	\$ 975,870	\$ 989,570	\$ 7,016,649	\$ 15,509,313

Indicate the Authority's most recent bond rating and the year of the rating by ratings service.

	<u>Moody's</u>	<u>Fitch</u>	<u>Standard & Poors</u>
Bond Rating	N/A	N/A	N/A
Year of Last Rating	N/A	N/A	N/A

5 Year Debt Service Schedule - Principal

Northwest Bergen County Utilities Authority

Fiscal Year Beginning in

	Adopted Budget Year 2020	Proposed Budget Year 2021	<i>Fiscal Year Beginning in</i>							Total Principal Outstanding
			2022	2023	2024	2025	2026	Thereafter		
<i>Authority Bonds</i>										
2008 BCIA Solar	\$ 159,774	\$ 159,774	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 159,774
2016 BCIA Pooled	600,000	615,000	635,000	660,000	690,000	-	-	-	-	2,600,000
Total Bond Principal	759,774	774,774	635,000	660,000	690,000	-	-	-	-	2,759,774
<i>Authority Loans</i>										
2010 NJWWT	163,000	167,000	172,000	176,000	176,000	181,000	186,000	734,049		1,792,049
2012 NJWWT*	199,879	204,880	208,316	212,253	216,114	219,898	223,598	1,145,732		2,430,791
2014 NJWWT	24,619	29,619	29,619	29,619	29,619	29,619	29,619	169,857		347,571
2015 NJWWT	408,442	413,442	418,441	423,442	428,442	433,442	438,442	3,548,050		6,103,701
2018 NJWWT	106,911	106,911	106,911	106,911	111,911	111,911	111,911	1,418,961		2,075,427
Total Loan Principal	902,851	921,852	935,287	948,225	962,086	975,870	989,570	7,016,649		12,749,539
Total Principal	1,662,625	1,696,626	1,570,287	1,608,225	1,652,086	975,870	989,570	7,016,649		15,509,313

* Includes savings credits

Debt Service Schedule - Interest

Northwest Bergen County Utilities Authority

If Authority has no debt X this box

Fiscal Year Ending in

	Adopted Budget Year 2020	Proposed Budget Year 2021	2022	2023	2024	2025	2026	Thereafter	Total Interest Payments Outstanding
<i>Wastewater Treatment</i>									
Bonds (see Sheet F-7a)	\$ 111,866	\$ 91,964	\$ 69,200	\$ 43,300	\$ 15,050				\$ 219,514
Loans (see Sheet F-7a)	207,166	184,700	170,256	155,665	140,443	124,718	108,493	381,908	1,266,183
Type in Issue Name									-
Type in Issue Name									-
Total Interest Payments	<u>319,032</u>	<u>276,664</u>	<u>239,456</u>	<u>198,965</u>	<u>155,493</u>	<u>124,718</u>	<u>108,493</u>	<u>381,908</u>	<u>1,485,697</u>
<i>N/A</i>									
Type in Issue Name									-
Type in Issue Name									-
Type in Issue Name									-
Type in Issue Name									-
Total Interest Payments	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
<i>N/A</i>									
Type in Issue Name									-
Type in Issue Name									-
Type in Issue Name									-
Type in Issue Name									-
Total Interest Payments	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
<i>N/A</i>									
Type in Issue Name									-
Type in Issue Name									-
Type in Issue Name									-
Type in Issue Name									-
Total Interest Payments	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
<i>N/A</i>									
Type in Issue Name									-
Type in Issue Name									-
Type in Issue Name									-
Type in Issue Name									-
Total Interest Payments	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
TOTAL INTEREST ALL OPERATIONS	<u><u>\$ 319,032</u></u>	<u><u>\$ 276,664</u></u>	<u><u>\$ 239,456</u></u>	<u><u>\$ 198,965</u></u>	<u><u>\$ 155,493</u></u>	<u><u>\$ 124,718</u></u>	<u><u>\$ 108,493</u></u>	<u><u>\$ 381,908</u></u>	<u><u>\$ 1,485,697</u></u>

5 Year Debt Service Schedule - Interest

Northwest Bergen County Utilities Authority

Fiscal Year Beginning in

	Adopted Budget Year 2020	Proposed Budget Year 2021	<i>Fiscal Year Beginning in</i>							Total Interest Outstanding
			2022	2023	2024	2025	2026	Thereafter		
<i>Authority Bonds</i>										
2008 BCIA Solar	\$ 2,516	\$ 839	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 839
2016 BCIA Pooled	109,350	91,125	69,200	43,300	15,050	-	-	-	-	218,675
Total Bond Principal	111,866	91,964	69,200	43,300	15,050	-	-	-	-	219,514
<i>Authority Loans</i>										
2010 NJWWT	47,480	43,730	39,755	35,555	31,255	26,830	22,155	40,748		240,028
2012 NJWWT	55,561	42,595	38,251	34,235	30,063	25,738	21,263	48,848		240,993
2014 NJWWT	7,975	7,350	6,600	5,850	5,100	4,500	4,050	14,487		47,937
2015 NJWWT	72,450	68,325	63,950	59,325	54,450	49,325	43,950	173,200		512,525
2018 NJWWT	23,700	22,700	21,700	20,700	19,575	18,325	17,075	104,625		224,700
Total Loan Interest	207,166	184,700	170,256	155,665	140,443	124,718	108,493	381,908		1,266,183
Total Interest	319,032	276,664	239,456	198,965	155,493	124,718	108,493	381,908		1,485,697

Net Position Reconciliation

Northwest Bergen County Utilities Authority

For the Period January 1, 2021 to December 31, 2021

FY 2021 Proposed Budget

	Wastewater Treatment	N/A	N/A	N/A	N/A	N/A	Total All Operations
TOTAL NET POSITION BEGINNING OF LATEST AUDIT REPORT YEAR(1)	\$ 25,643,692						\$ 25,643,692
Less: Invested in Capital Assets, Net of Related Debt (1)	36,016,710						36,016,710
Less: Restricted for Debt Service Reserve (1)	1,803,224						1,803,224
Less: Other Restricted Net Position (1)	7,162,920						7,162,920
Total Unrestricted Net Position (1)	(19,339,162)	-	-	-	-	-	(19,339,162)
Less: Designated for Non-Operating Improvements & Repairs							-
Less: Designated for Rate Stabilization							-
Less: Other Designated by Resolution	2,757,937						2,757,937
Plus: Accrued Unfunded Pension Liability (1)	11,785,184						11,785,184
Plus: Accrued Unfunded Other Post-Employment Benefit Liability (1)	15,144,237						15,144,237
Plus: Estimated Income (Loss) on Current Year Operations (2)							-
Plus: Other Adjustments (attach schedule)							-
UNRESTRICTED NET POSITION AVAILABLE FOR USE IN PROPOSED BUDGET	4,832,322	-	-	-	-	-	4,832,322
Unrestricted Net Position Utilized to Balance Proposed Budget	-	-	-	-	-	-	-
Unrestricted Net Position Utilized in Proposed Capital Budget	995,000	-	-	-	-	-	995,000
Appropriation to Municipality/County (3)	-	-	-	-	-	-	-
Total Unrestricted Net Position Utilized in Proposed Budget	995,000	-	-	-	-	-	995,000
PROJECTED UNRESTRICTED UNDESIGNATED NET POSITION AT END OF YEAR Last issued Audit Report (4)	\$ 3,837,322	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 3,837,322

(1) Total of all operations for this line item must agree to audited financial statements.

(2) Include budgeted and unbudgeted use of unrestricted net position in the current year's operations.

(3) Amount may not exceed 5% of total operating appropriations. See calculation below.

Maximum Allowable Appropriation to Municipality/County \$ 680,540 \$ - \$ - \$ - \$ - \$ - \$ 680,540

(4) If Authority is projecting a deficit for any operation at the end of the budget period, the Authority must attach a statement explaining its plan to reduce the deficit, including the timeline for elimination of the deficit, if not already detailed in the budget narrative section.

2021 (2021-2022)

NORTHWEST BERGEN COUNTY
UTILITIES AUTHORITY
(Name)

AUTHORITY
CAPITAL
BUDGET/
PROGRAM

2021 CERTIFICATION OF AUTHORITY CAPITAL BUDGET/PROGRAM

NORTHWEST BERGEN COUNTY UTILITIES AUTHORITY (Name)

FISCAL YEAR: **FROM:** January 1, 2021 **TO:** December 31, 2021


[X] enter X to the left if this paragraph is applicable

It is hereby certified that the Authority Capital Budget/Program annexed hereto is a true copy of the Capital Budget/Program approved, pursuant to N.J.A.C. 5:31-2.2, along with the Annual Budget, by the governing body of the Northwest Bergen County Utilities Authority, on the 20 day of October, 2020.

OR

[] enter X to the left if this paragraph is applicable

It is hereby certified that the governing body of the _____ Authority have elected **NOT** to adopt a Capital Budget /Program for the aforesaid fiscal year, pursuant to N.J.A.C. 5:31-2.2 for the following reason(s):

Officer's Signature:			
Name:	James Rotundo		
Title:	Executive Director		
Address:	30 Wyckoff Avenue @ Authority Drive, PO Box 255 Waldwick, NJ 07463		
Phone Number:	201-447-2660	Fax Number:	201-447-0247
E-mail address	jrotundo@nbcua.com		

2021 (2021-2022) CAPITAL BUDGET/PROGRAM MESSAGE

Northwest Bergen County Utilities Authority

FISCAL YEAR: **FROM:** January 1, 2021 **TO:** December 31, 2021

1. Has each municipality or county affected by the actions of the authority participated in the development of the capital plan and reviewed or approved the plans or projects included within the Capital Budget/Program (This may include the governing body or certain officials such as planning boards, Construction Code Officials) as to these Projects?

YES

2. Has each capital project/project financing been developed from a specific capital improvement plan or report; does it include full lifecycle costs; and is it consistent with appropriate elements of Master Plans or other plans in the jurisdiction(s) served by the authority?

YES

3. Has a long-term (5 years or more) infrastructure needs and other capital items (Vehicles, Equipment) needs assessment been prepared?

NO. A SHORT-TERM PLAN FOR THE NEXT FIVE YEARS HAS BEEN DEVELOPED.

4. If amounts are on Page CB-3 in the column Debt Authorizations. Indicate the primary source of funding the debt service for the Debt Authorizations (Example Rate Increases Funding or Other sources)

PROJECTS LISTED ON PAGE CB-3 IN THE DEBT AUTHORIZATION COLUMN WILL BE FUNDED BY TEMPORARY FINANCING THROUGH THE NEW JERSEY INFRASTRUCTURE BANK (“NJIB”). WHEN THE PROJECTS ARE COMPLETED, THE NJIB WILL ISSUE BONDS TO PERMANENTLY FINANCE THESE TEMPORARY LOANS. AT THIS TIME, THE AUTHORITY WILL FUND DEBT SERVICE PAYMENTS THROUGH THE OPERATING FUND THROUGH EITHER RATE INCREASES OR UTILIZATION OF NET POSITION (FOR RATE STABILIZATION PURPOSES).

5. Please indicate which capital projects/project financings are being undertaken in the Metropolitan or Suburban Planning Areas as defined in the State Development and Redevelopment Plan.

NOT APPLICABLE.

6. Please indicate which capital projects/project financings are being undertaken within the boundary of a State Planning Commission-designated Center and/or Endorsed Plan and if the project was included in the Plan Implementation Agenda for that Center/Endorsed Plan.

NOT APPLICABLE.

Add additional sheets if necessary.

Proposed Capital Budget

Northwest Bergen County Utilities Authority

For the Period January 1, 2021 to December 31, 2021

		<i>Funding Sources</i>					
		Estimated Total Cost	Unrestricted Net Position Utilized	Renewal & Replacement Reserve	Debt Authorization	Capital Grants	Other Sources
<i>Wastewater Treatment</i>							
See Attached Schedule		\$ 5,131,000	\$ 995,000	\$ 876,000	\$ 3,260,000		
Type in Description		-					
Type in Description		-					
Type in Description		-					
Total		5,131,000	995,000	876,000	3,260,000	-	-
<i>N/A</i>							
Type in Description		-					
Type in Description		-					
Type in Description		-					
Type in Description		-					
Total		-	-	-	-	-	-
<i>N/A</i>							
Type in Description		-					
Type in Description		-					
Type in Description		-					
Type in Description		-					
Total		-	-	-	-	-	-
<i>N/A</i>							
Type in Description		-					
Type in Description		-					
Type in Description		-					
Type in Description		-					
Total		-	-	-	-	-	-
<i>N/A</i>							
Type in Description		-					
Type in Description		-					
Type in Description		-					
Type in Description		-					
Total		-	-	-	-	-	-
<i>N/A</i>							
Type in Description		-					
Type in Description		-					
Type in Description		-					
Type in Description		-					
Total		-	-	-	-	-	-
TOTAL PROPOSED CAPITAL BUDGET		\$ 5,131,000	\$ 995,000	\$ 876,000	\$ 3,260,000	\$ -	\$ -

Enter brief description of up to four projects for each operation above. For operations with more than four budgeted projects, please attach additional schedules. Input total amount of all projects for the operation on single line and enter "See Attached Schedule" instead of project description.

Northwest Bergen County Utilities Authority

For the Period January 1, 2021 to December 31, 2021

Funding Sources

	Estimated Total Cost	Funding Sources				Other Sources
		Unrestricted Net Position Utilized	Renewal & Replacement Reserve	Debt Authorization	Capital Grants	
<i>Wastewater Treatment</i>						
Wastewater Pump Station Improvements (S340700-15)	\$1,000,000			\$1,000,000		
Upgrade Operating Building Interior	\$660,000			\$660,000		
Incinerator Repairs	\$0					
Collection System Gravity Main Repairs (S340700-19)	\$0					
Collection System Expansion	\$0					
Fiber Optic Pump Station Installations	\$281,000		\$281,000			
Upgrade Mission and Phoenix Alarm Systems / Wet Well Dissolved O2 Systems / New Pump for HHF	\$310,000		\$310,000			
Replace Federal Electric Sub-Panels left throughout Plant	\$75,000		\$75,000			
Upgrade and Replace PLCs and CSS in Primary Building, Simplify Wiring	\$90,000	\$90,000				
Vehicle Replacement (2 Ford Explorers)	\$90,000	\$90,000				
Replace Aeration Gallery PLCs, wiring, and transmission data systems	\$150,000		\$150,000			
Roof Replacement and Repair of Grit Building and Disinfection Building (incl. epoxy coating of interior walls grit bldg)	\$0					
WWTP Gate Valves and Actuator Replacement Upgrade (Inlet Bldg and secondary crossover channel incl electric)	\$0					
Replace BFP#2 Schwing Pump	\$700,000	\$700,000				
Vehicle Storage Building	\$600,000			\$600,000		
Cake Receiving Facility	\$1,000,000			\$1,000,000		
Pump Station PLC Replacement	\$60,000		\$60,000			
Upgrade Polymer Room Electrical Cabinets, Automate Polymer System	\$25,000	\$25,000				
Thickener Scrubber Upgrade to Fully Automated and Winterize	\$30,000	\$30,000				
Upgrade Pump Station Lighting Including Wet Wells	\$60,000	\$60,000				
Total	\$5,131,000	\$995,000	\$876,000	\$3,260,000	\$0	\$0

5 Year Capital Improvement Plan

Northwest Bergen County Utilities Authority

For the Period January 1, 2021 to December 31, 2021

Fiscal Year Beginning in

	Estimated Total Cost	Current Budget Year 2021	2022	2023	2024	2025	2026
<i>Wastewater Treatment</i>							
See Attached Schedule	\$ 17,991,000	\$ 5,131,000	\$ 1,960,000	\$ 5,050,000	\$ 300,000	\$ 5,550,000	
Type in Description	-	-		-			
Type in Description	-	-					
Type in Description	-	-					
Total	17,991,000	5,131,000	1,960,000	5,050,000	300,000	5,550,000	-
<i>N/A</i>							
Type in Description	-	-					
Type in Description	-	-					
Type in Description	-	-					
Type in Description	-	-					
Total	-	-	-	-	-	-	-
<i>N/A</i>							
Type in Description	-	-					
Type in Description	-	-					
Type in Description	-	-					
Type in Description	-	-					
Total	-	-	-	-	-	-	-
<i>N/A</i>							
Type in Description	-	-					
Type in Description	-	-					
Type in Description	-	-					
Type in Description	-	-					
Total	-	-	-	-	-	-	-
<i>N/A</i>							
Type in Description	-	-					
Type in Description	-	-					
Type in Description	-	-					
Type in Description	-	-					
Total	-	-	-	-	-	-	-
TOTAL	\$ 17,991,000	\$ 5,131,000	\$ 1,960,000	\$ 5,050,000	\$ 300,000	\$ 5,550,000	\$ -

Project descriptions entered on Page CB-3 will carry forward to Pages CB-4 and CB-5. No need to re-enter project descriptions above.

5 Year Capital Improvement Plan - Detail

Northwest Bergen County Utilities Authority
 For the Period January 1, 2021 to December 31, 2021

	Estimated Total Cost	Current Budget					
		Year 2021	2022	2023	2024	2025	2026
<i>Wastewater Treatment</i>							
Wastewater Pump Station Improvements (S340700-15)	\$1,000,000	\$1,000,000					
Upgrade Operating Building Interior	\$660,000	\$660,000					
Incinerator Repairs	\$700,000		\$300,000	\$50,000	\$300,000	\$50,000	
Collection System Gravity Main Repairs (S340700-19)	\$1,000,000		\$500,000			\$500,000	
Collection System Expansion	\$10,000,000			\$5,000,000		\$5,000,000	
Fiber Optic Pump Station Installations	\$441,000	\$281,000	\$160,000				
Upgrade Mission and Phoenix Alarm Systems / Wet Well Dissolved O2 Systems / New Pump for HHPS	\$310,000	\$310,000					
Replace Federal Electric Sub-Panels left throughout Plant	\$75,000	\$75,000					
Upgrade and Replace PLCs and CSS in Primary Building, Simplify Wiring	\$90,000	\$90,000					
Vehicle Replacement (2 Ford Explorers)	\$90,000	\$90,000					
Replace Aeration Gallery PLCs, wiring, and transmission data systems	\$150,000	\$150,000					
Roof Replacement and Repair of Grit Building and Disinfection Building (incl. epoxy coating of interior walls grit bldg)	\$200,000		\$200,000				
WWTP Gate Valves and Actuator Replacement Upgrade (Inlet Bldg and secondary crossover channel incl electric)	\$800,000		\$800,000				
Replace BFP#2 Schwing Pump	\$700,000	\$700,000					
Vehicle Storage Building	\$600,000	\$600,000					
Cake Receiving Facility	\$1,000,000	\$1,000,000					
Pump Station PLC Replacement	\$60,000	\$60,000					
Upgrade Polymer Room Electrical Cabinets, Automate Polymer System	\$25,000	\$25,000					
Thickener Scrubber Upgrade to Fully Automated and Winterize	\$30,000	\$30,000					
Upgrade Pump Station Lighting Including Wet Wells	\$60,000	\$60,000					
TOTAL	\$17,991,000	\$5,131,000	\$1,960,000	\$5,050,000	\$300,000	\$5,550,000	

5 Year Capital Improvement Plan Funding Sources

Northwest Bergen County Utilities Authority

For the Period January 1, 2021 to December 31, 2021

	Estimated Total Cost	Funding Sources				
		Unrestricted Net Position Utilized	Renewal & Replacement Reserve	Debt Authorization	Capital Grants	Other Sources
<i>Wastewater Treatment</i>						
See Attached Schedule	\$ 17,991,000	\$ 2,345,000	\$ 1,386,000	\$ 14,260,000		
Type in Description	-					
Type in Description	-					
Type in Description	-					
Total	17,991,000	2,345,000	1,386,000	14,260,000	-	-
<i>N/A</i>						
Type in Description	-					
Type in Description	-					
Type in Description	-					
Type in Description	-					
Total	-	-	-	-	-	-
<i>N/A</i>						
Type in Description	-					
Type in Description	-					
Type in Description	-					
Type in Description	-					
Total	-	-	-	-	-	-
<i>N/A</i>						
Type in Description	-					
Type in Description	-					
Type in Description	-					
Type in Description	-					
Total	-	-	-	-	-	-
<i>N/A</i>						
Type in Description	-					
Type in Description	-					
Type in Description	-					
Type in Description	-					
Total	-	-	-	-	-	-
TOTAL	\$ 17,991,000	\$ 2,345,000	\$ 1,386,000	\$ 14,260,000	\$ -	\$ -
Total 5 Year Plan per CB-4	\$ 17,991,000					
Balance check		- If amount is other than zero, verify that projects listed above match projects listed on CB-4.				

Project descriptions entered on Page CB-3 will carry forward to Pages CB-4 and CB-5. No need to re-enter project descriptions above.

Northwest Bergen County Utilities Authority
 For the Period January 1, 2021 to December 31, 2021

Funding Sources

	Estimated Total Cost	Funding Sources				
		Unrestricted Net Position Utilized	Renewal & Replacement Reserve	Debt Authorization	Capital Grants	Other Sources
<i>Wastewater Treatment</i>						
Wastewater Pump Station Improvements (S340700-15)	\$1,000,000			\$1,000,000		
Upgrade Operating Building Interior	\$660,000			\$660,000		
Incinerator Repairs	\$700,000	\$350,000	\$350,000			
Collection System Gravity Main Repairs (S340700-19)	\$1,000,000			\$1,000,000		
Collection System Expansion	\$10,000,000			\$10,000,000		
Fiber Optic Pump Station Installations	\$441,000		\$441,000			
Upgrade Mission and Phoenix Alarm Systems / Wet Well Dissolved O2 Systems / New Pump	\$310,000		\$310,000			
Replace Federal Electric Sub-Panels left throughout Plant	\$75,000		\$75,000			
Upgrade and Replace PLCs and CSS in Primary Building, Simplify Wiring	\$90,000	\$90,000				
Vehicle Replacement (2 Ford Explorers)	\$90,000	\$90,000				
Replace Aeration Gallery PLCs, wiring, and transmission data systems	\$150,000		\$150,000			
Roof Replacement and Repair of Grit Building and Disinfection Building (incl. epoxy coating o	\$200,000	\$200,000				
WWTP Gate Valves and Actuator Replacement Upgrade (Inlet Bldg and secondary crossover	\$800,000	\$800,000				
Replace BFP#2 Schwing Pump	\$700,000	\$700,000				
Vehicle Storage Building	\$600,000			\$600,000		
Cake Receiving Facility	\$1,000,000			\$1,000,000		
Pump Station PLC Replacement	\$60,000		\$60,000			
Upgrade Polymer Room Electrical Cabinets, Automate Polymer System	\$25,000	\$25,000				
Thickener Scrubber Upgrade to Fully Automated and Winterize	\$30,000	\$30,000				
Upgrade Pump Station Lighting Including Wet Wells	\$60,000	\$60,000				
TOTAL PROPOSED CAPITAL BUDGET	\$ 17,991,000	\$ 2,345,000	\$ 1,386,000	\$14,260,000	\$ -	\$ -