

2012

**NORTHWEST BERGEN COUNTY UTILITIES AUTHORITY**

# Authority Budget

**Department Of**



**Community  
Affairs**

Division of Local Government Services

2012

**NORTHWEST BERGEN COUNTY UTILITIES AUTHORITY**

**AUTHORITY BUDGET**

**FISCAL YEAR: FROM JANUARY 1, 2012 TO DECEMBER 31, 2012**

*For Division Use Only*

**CERTIFICATION OF APPROVED BUDGET**

*It is hereby certified that the approved Budget made a part hereof complies with the requirements of law and the rules and regulations of the Local Finance Board, and approval is given pursuant to N.J.S. 40A:5A-11.*

*State of New Jersey  
Department of Community Affairs  
Director of the Division of Local Government Services*

By: \_\_\_\_\_ Date: \_\_\_\_\_

**CERTIFICATION OF ADOPTED BUDGET**

*It is hereby certified that the adopted Budget made a part hereof has been compared with the approved Budget previously certified by the Division, and any amendments made thereto. This adopted Budget is certified with respect to such amendments and comparisons only.*

*State of New Jersey  
Department of Community Affairs  
Director of the Division of Local Government Services*

By: \_\_\_\_\_ Date: \_\_\_\_\_

# 2012 PREPARER'S CERTIFICATION

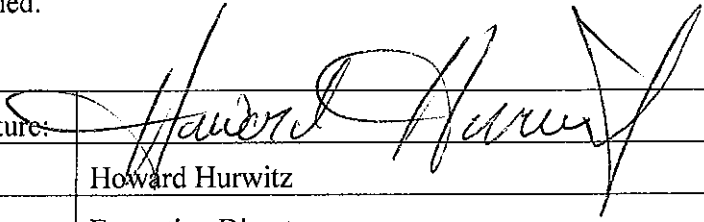
## NORTHWEST BERGEN COUNTY UTILITIES AUTHORITY

### AUTHORITY BUDGET

FISCAL YEAR: FROM JANUARY 1, 2012 TO DECEMBER 31, 2012

It is hereby certified that the Authority Budget, including both the Annual Budget and the Capital Budget/Program annexed hereto, represents the members of the governing body's resolve with respect to statute in that; all estimates of revenue are reasonable, accurate and correctly stated, all items of appropriation are properly set forth and in itemization, form and content, the budget will permit the exercise of the comptroller function within the Authority.

It is further certified that all proposed budgeted amounts and totals are correct. Also, I hereby provide reasonable assurance that all assertions contained herein are accurate and all Supplemental Schedules required are completed and attached.

Preparer's Signature:			
Name:	Howard Hurwitz		
Title:	Executive Director		
Address:	30 Wyckoff Avenue, PO Box 255 Waldwick, NJ 07463		
Phone Number:	201-447-2660	Fax Number:	201-447-0247
E-mail address	hhurwitz@nbcua.com		

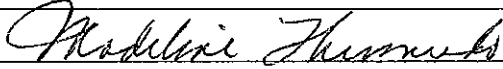
## 2012 APPROVAL CERTIFICATION

# NORTHWEST BERGEN COUNTY UTILITIES AUTHORITY AUTHORITY BUDGET

FISCAL YEAR: FROM JANUARY 1, 2012 TO DECEMBER 31, 2012

It is hereby certified that the Authority Budget, including Supplemental Schedules appended hereto, are a true copy of the Annual Budget and Capital Budget/Program approved by resolution by the governing body of the Northwest Bergen County Utilities Authority, at an open public meeting held pursuant to N.J.A.C. 5:31-2.3, on the 1<sup>st</sup> day of November, 2011.

It is further certified that the recorded vote appearing in the resolution represents a not less than a majority of the full membership of the governing body thereof.

Secretary's Signature:			
Name:	Madeline Thumudo		
Title:	Secretary		
Address:	30 Wyckoff Avenue, PO Box 255 Waldwick, NJ 07463		
Phone Number:	201-447-2660	Fax Number:	201-447-0247
E-mail address	mthumudo@nbcua.com		

## AUTHORITY INFORMATION SHEET 2012

Please complete the following information regarding this Authority:

<b>Name of Authority:</b>	Northwest Bergen County Utilities Authority		
<b>Address:</b>	30 Wyckoff Avenue PO Box 255		
<b>City, State, Zip:</b>	Waldwick	NJ	07463
<b>Phone: (ext.)</b>	201-447-2660	<b>Fax:</b>	201-447-0247

<b>Preparer's Name:</b>	Howard Hurwitz		
<b>Preparer's Address:</b>	30 Wyckoff Avenue PO Box 255		
<b>City, State, Zip:</b>	Waldwick	NJ	07463
<b>Phone: (ext.)</b>	201-447-2660 ext. 226	<b>Fax:</b>	201-447-0247

<b>Chief Executive Officer:</b>	Howard Hurwitz, Executive Director		
<b>Phone: (ext.)</b>	201-447-2660 ext. 226	<b>Fax:</b>	201-447-0247
<b>E-mail:</b>	hhurwitz@nbcua.com		

<b>Chief Financial Officer:</b>	Todd Sherer, Treasurer		
<b>Phone: (ext.)</b>	201-447-2660	<b>Fax:</b>	201-447-0247
<b>E-mail:</b>			

<b>Name of Auditor:</b>	Paul Lerch		
<b>Name of Firm:</b>	Lerch, Vinci & Higgins, LLP		
<b>Address:</b>	17-17 Route 208		
<b>City, State, Zip:</b>	Fair Lawn	NJ	07410
<b>Phone: (ext.)</b>	201-791-7100	<b>Fax:</b>	201-791-3035
<b>E-mail:</b>	plerch@lvhcpa.com		

<b>Membership of Board of Commissioners (Full Name)</b>	<b>Title</b>
William Dator	Chairman
Michael Kasparian	Vice-Chairman
Brian Chewcaskie	Commissioner
Peter Dachnowicz	Commissioner
Frank Kelaher	Commissioner
Marion Plumley	Commissioner
Nicholas Rotunda	Commissioner
Jason Shafron	Commissioner

**NORTHWEST BERGEN COUNTY UTILITIES AUTHORITY**

**RESOLUTION**

Offered by Commissioner Chewcaskie

No. 84-2011.

Seconded by Commissioner Kelaher

Date: Nov. 1, 2011

**PRELIMINARY BUDGET FISCAL YEAR PERIOD  
JANUARY 1, 2012 TO DEC. 31, 2012**

**RESOLVED,**

**WHEREAS, on October 4, 2011 pursuant to Resolution 78-2011, the Commissioners of the Northwest Bergen County Utilities Authority adopted a preliminary Annual Budget and Capital Budget for the fiscal year period beginning January 1, 2012 and ending December 31, 2012; and**

**WHEREAS, subsequent to that date, additional information has become known to the Authority concerning availability of bond reserve funds and health insurance rates which affect the 2012 budget; and**

**WHEREAS, it is the intention of the Commissioners to rescind Resolution 78-2011 and then immediately adopt a new preliminary Annual Budget and Capital Budget for the fiscal year beginning January 1, 2012 and ending December 31, 2012; and**

**WHEREAS, the preliminary Annual Budget and Capital Budget for the Northwest Bergen County Utilities Authority for the fiscal year period beginning January 1, 2012 and ending December 31, 2012 has been presented before the Commissioners of the Northwest Bergen County Utilities Authority at its open public meeting of Nov. 1, 2011; and**

**WHEREAS, the Annual Budget as introduced reflects Total Revenues of \$15,491,114.00, Total Appropriations, including any Accumulated Deficit, if any, of \$15,671,114.00 and Total Unrestricted Net Assets utilized of \$180,000.00; and**

**WHEREAS, the Capital Budget as introduced reflects Total Capital Appropriations of \$8,050,000.00 and Total Unrestricted Net Assets planned to be utilized as funding thereof, of \$-0- and Total Other Sources provided in the Operating Budget Appropriations as Capital Outlay planned to be utilized as funding thereof of \$500,000; and**

**NORTHWEST BERGEN COUNTY UTILITIES AUTHORITY**

**RESOLUTION**

Offered by Commissioner Chewcaskie

No. 84-2011 pg. 2

Seconded by Commissioner Kelaher

Date: Nov. 1, 2011

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**PRELIMINARY BUDGET FISCAL YEAR PERIOD**

**JAN. 1, 2012 TO DEC. 31, 2012.**

**WHEREAS, the schedule of rates, fees and other charges in effect will produce sufficient revenues, together with all other anticipated revenues to satisfy all obligations to the holders of bonds of the Authority, to meet operating expenses, capital outlays, debt service requirements, and to provide for such reserves, all as may be required by law, regulation or terms of contracts and agreements; and**

**WHEREAS, in accordance with the Authority's General Bond Resolution adopted December 19, 1972, as amended, the Operating Expenses in the Annual Budget are not in excess of the reasonable and necessary amount thereof; and**

**WHEREAS, the Capital Budget/Program, pursuant to N.J.A.C.5:31-2, does not confer any authorization to raise or expend funds; rather it is a document to be used as part of the said Authority's planning and management objectives. Specific authorization to expend funds for the purposes described in this section of the budget, must be granted elsewhere; by bond resolution, by a project financing agreement, by resolution appropriating funds from the Renewal and Replacement Reserve or other means provided by law.**

**NOW, THEREFORE, BE IT**

**RESOLVED, that the Commissioners of the Northwest Bergen County Utilities Authority hereby rescind Resolution 78-2011 adopted October 4, 2011; and**

**BE IT FURTHER RESOLVED, by the Commissioners of the Northwest Bergen County Utilities Authority, at an open public meeting held on Nov. 1, 2011 that the preliminary Annual Budget, including appended Supplemental Schedules, and the Capital Budget/Program of the Northwest Bergen County Utilities Authority for the fiscal year beginning January 1, 2012 and ending December 31, 2012 is hereby approved; and**

**BE IT FURTHER RESOLVED, that the anticipated revenues as reflected in the Annual Budget and Capital Budget are of sufficient amount to meet all proposed expenditures/expenses and all covenants, terms and provisions as stipulated in the said Authority's outstanding debt obligations, capital lease arrangements, service contracts, and other pledged agreements; and**

NORTHWEST BERGEN COUNTY UTILITIES AUTHORITY

RESOLUTION

Offered by Commissioner Chewcaskie

No. 84-2011 pg. 3

Seconded by Commissioner Kelaher

Date: Nov. 1, 2011

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PRELIMINARY BUDGET FISCAL YEAR PERIOD  
JAN. 1, 2012 TO DEC. 31, 2012.

BE IT FURTHER RESOLVED, that the Commissioners authorize the late introduction and submission of the preliminary Annual Budget and Capital Budget of the Northwest Bergen County Utilities Authority for the fiscal year beginning January 1, 2012 and ending December 31, 2012; and

BE IT FURTHER RESOLVED, that the Commissioners of the Northwest Bergen County Utilities Authority will consider the Annual Budget and Capital Budget for final adoption on December 6, 2011 in the offices of the Authority located at 30 Wyckoff Avenue, Waldwick, New Jersey at 7:00 o'clock P.M.; and

BE IT FURTHER RESOLVED, that the Secretary be and she hereby is directed to have published Notice of the Public Hearing in The Record and the Ridgewood News and one newspaper published in the Borough of Manhattan, City and State of New York; and

BE IT FURTHER RESOLVED, that the Secretary be and she hereby is directed to file the Preliminary Budget of the Northwest Bergen County Utilities Authority for the Fiscal Year 2012 with the Trustee, the Consulting Engineer and the Participants, all in accord with the General Bond Resolution adopted December 19, 1972, as amended and the Service Contract.

  
SECRETARY

  
CHAIRMAN

Roll Call: Ayes: Commissioners Chewcaskie, Dachnowicz, Kasparian, Kelaher,  
Plumley, Rotonda, Shafron, Dator  
Nays: None  
Absent: None



## BUDGET MESSAGE 2012

### NORTHWEST BERGEN COUNTY UTILITIES AUTHORITY

#### AUTHORITY BUDGET

FISCAL YEAR: FROM JANUARY 1, 2012 TO DECEMBER 31, 2012

1. Complete a brief statement on the proposed Annual Budget and make comparison to the current year's adopted budget. Explain significant increases or decreases, if any.

The Northwest Bergen County Utilities Authority's proposed 2012 budget totals \$15,671,114 representing a \$695,874 or 4.65% increase from the 2011 Budget of \$14,975,240. The majority of the increase is due to an increase in Capital Outlay of \$500,000 included in the Operating Budget Appropriations to fund certain capital projects included in the proposed 2012 Capital Budget.

2. Complete a brief statement on the impact the proposed Annual Budget will have on Anticipated Revenues, especially service charges and on the general purpose/component unit financial statements. Explain significant increases or decreases, if any.

The proposed 2012 budget will be able to provide sufficient revenues to fund operations for the year ended December 31, 2012. A 2.0% decrease in 2012 service agreement revenue will be required from existing NBCUA customers when compared to the 2011 budgeted service agreement revenue.

3. Describe the state of the local/regional economy and how it may impact the proposed Annual Budget, including the planned Capital Budget/Program.

There will be a minimal impact on the local economy as a result of the Annual or Capital Budgets presented herein.

4. Describe the reasons for utilizing Unrestricted Net Assets in the proposed Annual Budget, i.e. rate stabilization, debt service reduction, to balance the budget, etc.

\$180,000 of Unrestricted Net Assets was utilized in the proposed Annual Budget to reduce amounts required from service agreement revenue in 2012.

5. If the proposed Annual Budget contains an Accumulated Deficit either existing or anticipated, pursuant to N.J.S.A 40A:5A-12, then an explanation as to reasons for occurrence must be disclosed.

N/A

2012

**AUTHORITY BUDGET**

(OPERATION)

Northwest Bergen County Utilities Authority

FISCAL YEAR: FROM JANUARY 1, 2012 TO DECEMBER 31, 2012

---ANTICIPATED REVENUES---

<b>OPERATING REVENUES</b> -----	<b>CROSS REF.</b> -----	<b>2012 PROPOSED BUDGET</b> -----	<b>2011 CURRENT YEAR'S ADOPTED BUDGET</b> -----
SERVICE CHARGES	* A-1 *	\$13,728,526 *	\$14,010,240 *
CONNECTION FEES	* A-2 *	\$70,000 *	*
PARKING FEES	* A-3 *	*	*
OTHER OPERATING REVENUES	* A-4 *	\$591,000 *	\$555,000 *
<b>TOTAL OPERATING REVENUES</b>	* R-1 *	----- \$14,389,526 * -----	----- \$14,565,240 -----
<b>NON-OPERATING REVENUES</b> -----	<b>CROSS REF.</b> -----	<b>2012 PROPOSED BUDGET</b> -----	<b>2011 CURRENT YEAR'S ADOPTED BUDGET</b> -----
OPERATING GRANTS & ENTITLEMENTS	* A-5 *	*	*
LOCAL SUBSIDIES & DONATIONS	* A-6 *	*	*
INTEREST ON INVESTMENTS AND DEPOSIT	* A-7 *	\$200,000 *	\$250,000 *
OTHER NON-OPERATING REVENUES	* A-8 *	\$901,588 *	*
<b>TOTAL NON-OPERATING REVENUES</b>	* R-2 *	----- \$1,101,588 * -----	----- \$250,000 -----
<b>TOTAL ANTICIPATED REVENUES</b> (R-1 + R-2)	* B-1 *	=====	===== *

2012

**AUTHORITY BUDGET**

(OPERATION)

Northwest Bergen County Utilities Authority

FISCAL YEAR: FROM JANUARY 1, 2012 TO DECEMBER 31, 2012

**---BUDGETED APPROPRIATIONS---**

**--OPERATING APPROPRIATIONS--**

<b>ADMINISTRATION</b> -----	<b>CROSS REF.</b> -----	<b>2012 PROPOSED BUDGET</b> -----	<b>2011 CURRENT YEAR'S ADOPTED BUDGET</b> -----
SALARY & WAGES	* *	\$549,168 *	\$477,128 *
FRINGE BENEFITS	* *	\$301,000 *	\$254,000 *
OTHER EXPENSES	* *	\$834,300 *	\$1,059,800 *
<b>TOTAL ADMINISTRATION</b>	* E-1 *	<b>\$1,684,468 *</b>	<b>\$1,790,928 *</b>
<b>COST OF PROVIDING SERVICES</b> -----	<b>CROSS REF.</b> -----	<b>2012 PROPOSED BUDGET</b> -----	<b>2011 CURRENT YEAR'S ADOPTED BUDGET</b> -----
SALARY & WAGES	* *	\$3,245,000 *	\$3,275,600 *
FRINGE BENEFITS	* *	\$1,778,284 *	\$1,647,326 *
OTHER EXPENSES	* *	\$3,041,950 *	\$3,088,200 *
<b>TOTAL COST OF PROVIDING SERVICES</b>	* E-2 *	<b>\$8,065,234 *</b>	<b>\$8,011,126 *</b>
<b>TOTAL PRINCIPAL PAYMENTS ON DEBT SERVICE IN LIEU OF DEPRECIATION</b>	* D-1 *	<b>\$4,784,575 *</b>	<b>\$4,457,586 *</b>
<b>TOTAL OPERATING APPROPRIATIONS</b> (E-1 + E-2 + D-1)	* B-2 *	<b>\$14,534,277 *</b>	<b>\$14,259,640 *</b>

# 2012

## AUTHORITY BUDGET

(OPERATION)

Northwest Bergen County Utilities Authority

FISCAL YEAR: FROM JANUARY 1, 2012 TO DECEMBER 31, 2012

### ---BUDGETED APPROPRIATIONS---

--NON-OPERATING APPROPRIATIONS-- -----	CROSS REF. -----	2012 PROPOSED BUDGET -----	2011 CURRENT YEAR'S ADOPTED BUDGET -----
TOTAL INTEREST PAYMENTS ON DEBT	* D-2 *	\$636,837 *	\$715,600 *
OPERATIONS & MAINTENANCE RESERVE	* * *		* *
RENEWAL & REPLACEMENT RESERVE(S)	* C-1 *		* *
MUNICIPALITY/COUNTY APPROPRIATION	* * *		* *
OTHER RESERVES	* C-2 *		* *
OTHER NON-OPERATING APPROPRIATIONS Capital Outlay (1)	* * *	\$500,000 *	* *
<b>TOTAL NON-OPERATING APPROPRIATIONS</b>	<b>* B-3 *</b>	<b>\$1,136,837 *</b>	<b>\$715,600 *</b>
<b>ACCUMULATED DEFICIT</b>	<b>* B-4 *</b>		* *
<b>TOTAL OPERATING &amp; NON-OPERATING APPROPRIATIONS &amp; ACCUMULATED DEFICIT (B-2 + B-3 + B-4)</b>	<b>* B-5 *</b>	<b>\$15,671,114 *</b>	<b>\$14,975,240 *</b>
UNRESTRICTED NET ASSETS UTILIZED:			
MUNICIPALITY/COUNTY APPROPRIATION	* R-3a *		* *
OTHER	* R-3b *	\$180,000 *	\$160,000 *
<b>LESS: TOTAL UNRESTRICTED NET ASSETS UTILIZED (R-3a + R-3b)</b>	<b>* R-3 *</b>	<b>\$180,000 *</b>	<b>\$160,000 *</b>
<b>NET TOTAL APPROPRIATIONS (B-5 - R-3)</b>	<b>* B-6 *</b>	<b>\$15,491,114 *</b> =====	<b>\$14,815,240 *</b> =====

(1) - Capital Outlay appropriated to fund certain capital projects included in the 2012 Capital Budget.

2012

NORTHWEST BERGEN COUNTY UTILITIES AUTHORITY

AUTHORITY  
CAPITAL  
BUDGET/  
PROGRAM

**2012 CERTIFICATION of AUTHORITY CAPITAL  
BUDGET/PROGRAM**

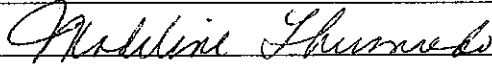
**NORTHWEST BERGEN COUNTY UTILITIES AUTHORITY**

**FISCAL YEAR: FROM JANUARY 1, 2012 TO DECEMBER 31, 2012**

It is hereby certified that the Authority Capital Budget/Program annexed hereto is a true copy of the Capital Budget/Program approved, pursuant to N.J.A.C. 5:31-2.2, along with the Annual Budget, by the governing body of the Northwest Bergen County Utilities Authority, on the 1<sup>st</sup> day of November, 2011.

**OR**

It is further certified that the governing body of the \_\_\_\_\_ Authority have elected **NOT** to adopt a Capital Budget /Program for the aforesaid fiscal year, pursuant to N.J.A.C. 5:31-2.2 for the following reason(s): \_\_\_\_\_

Secretary's Signature:			
Name:	Madeline Thumudo		
Title:	Secretary		
Address:	30 Wyckoff Avenue, PO Box 255 Waldwick, NJ 07463		
Phone Number:	201-447-2660	Fax Number:	201-447-0247
E-mail address	mthumudo@nbcua.com		

## 2012 Capital Budget/Program Message

### NORTHWEST BERGEN COUNTY UTILITIES AUTHORITY

FISCAL YEAR: FROM JANUARY 1, 2012 TO DECEMBER 31, 2012

1. Has each municipality or county affected by the actions of the authority participated in the development of the capital plan and reviewed or approved the plans or projects included within the Capital Budget/Program?

Yes

2. Has each capital project/project financing been developed from a specific capital improvements plan or report; does it include full lifecycle costs, and is it consistent with appropriate elements of Master Plans or other plans in the jurisdictions served by the authority?

Yes

3. Has a long-term (10-20 years) infrastructure needs assessment or other capital plan with a horizon beyond six years been prepared?

No

4. Describe the projected impacts of the proposed capital projects, including impact on the schedule of rates, fees, and service charges and the impact on current and future year's schedules.

The proposed 2012 Budget includes approximately \$5,421,000 for debt service related to capital projects, an increase of approximately \$248,000 from 2011 actual project related debt service. The increase in project related debt service was offset with budgeted revenue from available debt service reserves. Therefore, no increase in service charges is necessary to fund the 2012 budgeted debt service.

5. Please indicate which capital projects/project financings are being undertaken in the Metropolitan or Suburban Planning Areas as defined in the State Development and Redevelopment Plan.

Not Applicable

6. Please indicate which capital projects/project financings are being undertaken within the boundary of a State Planning Commission-designated Center and/or Endorsed Plan and if the project was included in the Plan Implementation Agenda for that Center/Endorsed Plan?

Not Applicable

2012

**AUTHORITY CAPITAL BUDGET**

(OPERATION)

Northwest Bergen County Utilities Authority

FISCAL YEAR: FROM JANUARY 1, 2012 TO DECEMBER 31, 2012

PROPOSED YEAR'S CAPITAL IMPROVEMENT PLAN

PROJECTS	ESTIMATED TOTAL COST	-----FUNDING SOURCES-----			
		UNRESTRICTED NET ASSETS	RENEWAL & REPLACEMENT RESERVE	DEBT AUTHORIZATION	OTHER SOURCES (1)
A Franklin Lakes Sanitary Sewer Project	\$5,800,000			\$5,800,000	
B Incinerator Upgrades	\$300,000			\$300,000	
C UV Replacement	\$1,000,000			\$1,000,000	
D Pump Station Upgrade	\$200,000				\$200,000
E Final Clarifier Improvements	\$450,000			\$450,000	
F Plant Infrastructure Repairs	\$300,000				\$300,000
G					
H					
I					
J					
K					
L					
M					
N					
<b>TOTAL</b>	<b>\$8,050,000</b>			<b>\$7,550,000</b>	<b>\$500,000</b>

(1) - To be funded by Capital Outlay Appropriation in 2012 Operating Budget



2012

**AUTHORITY CAPITAL PROGRAM**

(OPERATION)

Northwest Bergen County Utilities Authority

FISCAL YEAR: FROM JANUARY 1, 2012 TO DECEMBER 31, 2012

**5 YEAR CAPITAL IMPROVEMENT PLAN COSTS**

<b>PROJECTS</b>	<b>ESTIMATED TOTAL COST</b>	<b>2012</b>	<b>2013</b>	<b>2014</b>	<b>2015</b>	<b>2016</b>
A Franklin Lakes Sanitary Sewer Project	\$5,800,000	\$5,800,000				
B Incinerator Upgrades	\$300,000	\$300,000				
C UV Replacement	\$1,000,000	\$1,000,000				
D Pump Station Upgrade	\$500,000	\$200,000		\$150,000		\$150,000
E Final Clarifier Improvements	\$450,000	\$450,000				
F Plant Infrastructure Repairs	\$380,000	\$300,000		\$80,000		
G Wasting System Upgrades	\$1,000,000			\$500,000	\$500,000	
H Electrical System Upgrades	\$80,000			\$80,000		
I Ground & Road Improvements	\$300,000		\$150,000	\$150,000		
J Main Building Upgrades	\$320,000		\$320,000			
K A-Frame Truck Replacement	\$200,000		\$200,000			
L Back Hoe Replacement	\$80,000		\$80,000			
M Fork Lift Replacement	\$50,000		\$50,000			
N Incinerator Emission Control Upgrade	\$900,000				\$900,000	
<b>TOTAL</b>	<b>\$11,360,000</b>	<b>\$8,050,000</b>	<b>\$800,000</b>	<b>\$960,000</b>	<b>\$1,400,000</b>	<b>\$150,000</b>

2012

**AUTHORITY CAPITAL PROGRAM**

(OPERATION)

Northwest Bergen County Utilities Authority

FISCAL YEAR: FROM JANUARY 1, 2012 TO DECEMBER 31, 2012

5 YEAR CAPITAL PLAN FUNDING SOURCES: From 2012 to 2016

PROJECTS	ESTIMATED TOTAL COST	-----FUNDING SOURCES-----			
		UNRESTRICTED NET ASSETS	RENEWAL & REPLACEMENT RESERVE	DEBT AUTHORIZATION	OTHER SOURCES (1)
A Franklin Lakes Sanitary Sewer Project	\$5,800,000			\$5,800,000	
B Incinerator Upgrades	\$300,000			\$300,000	
C UV Replacement	\$1,000,000			\$1,000,000	
D Pump Station Upgrade	\$500,000			\$300,000	\$200,000
E Final Clarifier Improvements	\$450,000			\$450,000	
F Plant Infrastructure Repairs	\$380,000			\$80,000	\$300,000
G Wasting System Upgrades	\$1,000,000			\$1,000,000	
H Electrical System Upgrades	\$80,000			\$80,000	
I Ground & Road Improvements	\$300,000			\$300,000	
J Main Building Upgrades	\$320,000			\$320,000	
K A-Frame Truck Replacement	\$200,000			\$200,000	
L Back Hoe Replacement	\$80,000			\$80,000	
M Fork Lift Replacement	\$50,000			\$50,000	
N Incinerator Emission Control Upgrade	\$900,000			\$900,000	
<b>TOTAL</b>	<b>\$11,360,000</b>			<b>\$10,860,000</b>	<b>\$500,000</b>

(1) - To be funded by Capital Outlay Appropriation in 2012 Operating Budget

**2012**

**NORTHWEST BERGEN COUNTY UTILITIES AUTHORITY**

**AUTHORITY**

**SUPPLEMENTAL SCHEDULES**

**STATE OF NEW JERSEY**

**DIVISION OF LOCAL GOVERNMENT SERVICES**

# 2012

## AUTHORITY BUDGET SUPPLEMENTAL SCHEDULES

(OPERATION)

### Northwest Bergen County Utilities Authority

FISCAL YEAR: FROM JANUARY 1, 2012 TO DECEMBER 31, 2012

==== OPERATING REVENUES ====

----SERVICE CHARGES----	CROSS REF.	# UNITS	2012 PROPOSED ANNUAL COLLECTION	# UNITS	2011 CURRENT YEAR'S ADOPTED BUDGET
RESIDENTIAL	*	*	\$13,728,526	*	\$14,010,240
BUSINESS/COMMERCIAL	*	*		*	*
INDUSTRIAL	*	*		*	*
INTERGOVERNMENTAL	*	*		*	*
OTHER	*	*		*	*
<b>TOTAL SERVICE CHARGES</b>	* A-1	*	\$13,728,526	*	\$14,010,240
----CONNECTION FEES----					
	CROSS REF.	# UNITS	2012 PROPOSED ANNUAL COLLECTION	# UNITS	2011 CURRENT YEAR'S ADOPTED BUDGET
RESIDENTIAL	*	*	\$35,000	*	*
BUSINESS/COMMERCIAL	*	*	\$35,000	*	*
INDUSTRIAL	*	*		*	*
INTERGOVERNMENTAL	*	*		*	*
OTHER	*	*		*	*
<b>TOTAL CONNECTION FEES</b>	* A-2	*	\$70,000	*	*

\* INCLUDE ALL APPLICABLE RATE SCHEDULES TO SUPPORT SUCH FEES  
PAGE SS-1

**2012**

**AUTHORITY BUDGET  
SUPPLEMENTAL SCHEDULES**

(OPERATION)

Northwest Bergen County Utilities Authority

FISCAL YEAR: FROM JANUARY 1, 2012 TO DECEMBER 31, 2012

==== OPERATING REVENUES ====

----PARKING FEES----

	CROSS REF.	# UNITS	2012 PROPOSED ANNUAL COLLECTION	# UNITS	2011 CURRENT YEAR'S ADOPTED BUDGET
METERS	*	*		*	*
PERMITS	*	*		*	*
FINES/PENALTIES	*	*		*	*
OTHER	*	*		*	*
<b>TOTAL PARKING FEES</b>	* A-3	*		*	*

---OTHER OPERATING REVENUES---

	CROSS REF.		2012 PROPOSED ANNUAL COLLECTION		2011 CURRENT YEAR'S ADOPTED BUDGET
<b>LIST IN DETAIL:</b>					
Outside Septage & Sludge	*	*	\$360,000	*	\$167,000
Solar Renewable Energy Credits	*	*	\$75,000	*	\$230,000
IPP Permits	*	*	\$65,000	*	\$60,000
Collection System Operations	*	*	\$48,000	*	\$48,000
Miscellaneous Income	*	*	\$43,000	*	\$50,000
<b>TOTAL OTHER REVENUES</b>	* A-4	*	\$591,000	*	\$555,000

\* INCLUDE ALL APPLICABLE RATE SCHEDULES TO SUPPORT SUCH FEES

2012

**AUTHORITY BUDGET  
SUPPLEMENTAL SCHEDULES**

(OPERATION)

Northwest Bergen County Utilities Authority

FISCAL YEAR: FROM JANUARY 1, 2012 TO DECEMBER 31, 2012

==== NON-OPERATING REVENUES ====

----GRANTS &---- ----ENTITLEMENTS----	CROSS REF.	2012 PROPOSED BUDGET	2011 CURRENT YEAR'S ADOPTED BUDGET
	-----	-----	-----
LIST IN DETAIL:	*    *	*    *	*    *
	*    *	*    *	*    *
	*    *	*    *	*    *
	*    *	*    *	*    *
TOTAL GRANTS & ENT.	*    A-5   *	----- =====	----- =====

---LOCAL SUBSIDIES--- ---& DONATIONS---	CROSS REF.	2012 PROPOSED BUDGET	2011 CURRENT YEAR'S ADOPTED BUDGET
	-----	-----	-----
LIST IN DETAIL:	*    *	*    *	*    *
	*    *	*    *	*    *
	*    *	*    *	*    *
	*    *	*    *	*    *
TOTAL SUB. & DONATIONS	*    A-6   *	----- =====	----- =====

**2012**

**AUTHORITY BUDGET  
SUPPLEMENTAL SCHEDULES**

(OPERATION)

Northwest Bergen County Utilities Authority

FISCAL YEAR: FROM JANUARY 1, 2012 TO DECEMBER 31, 2012

==== NON-OPERATING REVENUES ====

---INTEREST ON INVESTMENTS---  
---AND DEPOSITS---

	CROSS REF.		2012 PROPOSED BUDGET		2011 CURRENT YEAR'S ADOPTED BUDGET	
		-----	-----	-----	-----	-----
INVESTMENTS	* *		\$200,000	*	\$250,000	*
SECURITY DEPOSITS	* *			*		*
PENALTIES	* *			*		*
OTHER INVESTMENTS	* *			*		*
<b>TOTAL INTEREST ON INVESTMENTS &amp; DEPOSITS</b>	* A-7 *		\$200,000	*	\$250,000	*
			=====		=====	

---OTHER NON-OPERATING REVENUES---

	CROSS REF.		2012 PROPOSED BUDGET		2011 CURRENT YEAR'S ADOPTED BUDGET	
		-----	-----	-----	-----	-----
<b>LIST IN DETAIL:</b>						
Debt Service Reserves	* *		\$901,588	*		*
	* *			*		*
	* *			*		*
	* *			*		*
	* *			*		*
<b>TOTAL OTHER REVENUES</b>	* A-8 *		\$901,588	*		*
			=====		=====	

2012

**AUTHORITY BUDGET  
SUPPLEMENTAL SCHEDULES**

(OPERATION)

Northwest Bergen County Utilities Authority

FISCAL YEAR: FROM JANUARY 1, 2012 TO DECEMBER 31, 2012

==== NON-OPERATING APPROPRIATIONS ====

----RENEWAL &---- ----REPLACEMENT RESERVE(S)----	CROSS REF.	2012 PROPOSED BUDGET	2011 CURRENT YEAR'S ADOPTED BUDGET
LIST IN DETAIL:			
	*	*	*
	*	*	*
	*	*	*
	*	*	*
TOTAL RENEWAL & REPLACEMENT RESERVE(S)	* C-1 *	*	*

---OTHER RESERVES---	CROSS REF.	2012 PROPOSED BUDGET	2011 CURRENT YEAR'S ADOPTED BUDGET
LIST IN DETAIL:			
	*	*	*
	*	*	*
	*	*	*
	*	*	*
TOTAL OTHER RESERVES	* C-2 *	*	*



**2012**

**AUTHORITY BUDGET  
SUPPLEMENTAL SCHEDULES**

(OPERATION)

Northwest Bergen County Utilities Authority

FISCAL YEAR: FROM JANUARY 1, 2012 TO DECEMBER 31, 2012

==== BUDGETED DEBT SERVICE REQUIREMENTS ====

---PRINCIPAL PAYMENTS---

	CROSS REF.	2012 PROPOSED BUDGET	2011 CURRENT YEAR'S ADOPTED BUDGET
	-----	-----	-----
AUTHORITY NOTES	* P-1 *	*	*
AUTHORITY BONDS	* P-2 *	\$3,284,774 *	\$3,129,774 *
CAPITAL LEASES	* P-3 *	*	*
INTERGOVERN. LOANS	* P-4 *	\$1,499,801 *	\$1,327,812 *
OTHER OBLIGATIONS	* P-5 *	*	*
<b>TOTAL PRINCIPAL PAYMENTS</b>	* D-1 *	----- \$4,784,575 * =====	----- \$4,457,586 * =====

---INTEREST PAYMENTS---

	CROSS REF.	2012 PROPOSED BUDGET	CURRENT YEAR'S ADOPTED BUDGET
	-----	-----	-----
AUTHORITY NOTES	* I-1 *	*	*
AUTHORITY BONDS	* I-2 *	\$446,112 *	\$568,690 *
CAPITAL LEASES	* I-3 *	*	*
INTERGOVERN. LOANS	* I-4 *	\$190,725 *	\$146,910 *
OTHER OBLIGATIONS	* I-5 *	*	*
<b>TOTAL INTEREST PAYMENTS</b>	* D-2 *	----- \$636,837 * =====	----- \$715,600 * =====

**2012**

**AUTHORITY BUDGET (OPERATION)  
SUPPLEMENTAL SCHEDULES**

**Northwest Bergen County Utilities Authority**

**FISCAL YEAR: FROM JANUARY 1, 2012 TO DECEMBER 31, 2012**

**5 YEAR DEBT SERVICE SCHEDULE**

PRINCIPAL PAYMENTS	YEARS					
	Prior Year 2011	2012	2013	2014	2015	2016
--AUTHORITY NOTES--	*	*	*	*	*	*
	*	*	*	*	*	*
	*	*	*	*	*	*
	*	*	*	*	*	*
<b>TOTAL PAYMENTS P-1</b>	*	*	*	*	*	*
--AUTHORITY BONDS--						
2004 Revenue Bonds	* \$2,920,000 *	* \$3,075,000 *	* \$2,840,000 *	*	*	*
2008 BCIA Solar Bonds	* \$159,774 *	* \$159,774 *	* \$159,774 *	* \$159,774 *	* \$159,774 *	* \$159,774 *
2009 BCIA Pooled Bonds	* \$50,000 *	* \$50,000 *	* \$495,000 *	* \$505,000 *	* \$515,000 *	* \$530,000 *
<b>TOTAL PAYMENTS P-2</b>	* \$3,129,774 *	* \$3,284,774 *	* \$3,494,774 *	* \$664,774 *	* \$674,774 *	* \$689,774 *
--AUTHORITY CAPITAL LEASES--						
	*	*	*	*	*	*
	*	*	*	*	*	*
	*	*	*	*	*	*
<b>TOTAL PAYMENTS P-3</b>	*	*	*	*	*	*
--AUTHORITY INTERGOVERNMENTAL LOANS--						
1993 NJWWT	* \$907,088 *	* \$928,645 *	*	*	*	*
1997 NJWWT	* \$420,724 *	* \$426,156 *	* \$441,461 *	* \$452,629 *	* \$478,805 *	* \$491,079 *
2010 NJWWT	*	* \$145,000 *	* \$145,000 *	* \$150,000 *	* \$155,000 *	* \$155,000 *
<b>TOTAL PAYMENTS P-4</b>	* \$1,327,812 *	* \$1,499,801 *	* \$586,461 *	* \$602,629 *	* \$633,805 *	* \$646,079 *
--AUTHORITY OBLIGATIONS (LIST):--						
	*	*	*	*	*	*
	*	*	*	*	*	*
	*	*	*	*	*	*
<b>TOTAL PAYMENTS P-5</b>	*	*	*	*	*	*
<b>TOTAL PRINCIPAL DEBT PAYMENTS SS-6</b>	* \$4,457,586 *	* \$4,784,575 *	* \$4,081,235 *	* \$1,267,403 *	* \$1,308,579 *	* \$1,335,853 *

2012

**AUTHORITY BUDGET (OPERATION)**

**SUPPLEMENTAL SCHEDULES**

**Northwest Bergen County Utilities Authority**

FISCAL YEAR: FROM JANUARY 1, 2012 TO DECEMBER 31, 2012

**5 YEAR DEBT SERVICE SCHEDULE**

INTEREST PAYMENTS	YEARS					
	Prior Year 2011	2012	2013	2014	2015	2016
--AUTHORITY NOTES--	*	*	*	*	*	*
	*	*	*	*	*	*
	*	*	*	*	*	*
	*	*	*	*	*	*
<b>TOTAL PAYMENTS I-1</b>	*	*	*	*	*	*
--AUTHORITY BONDS--						
2004 Revenue Bonds	* \$295,000 *	* \$175,100 *	* \$56,800 *	*	*	*
2008 BCIA Solar Bonds	* \$17,615 *	* \$15,937 *	* \$14,260 *	\$12,582 *	\$10,905 *	\$9,227 *
2009 BCIA Pooled Bonds	* \$256,075 *	* \$255,075 *	* \$249,625 *	\$238,362 *	\$225,612 *	\$212,550 *
<b>TOTAL PAYMENTS I-2</b>	* \$568,690 *	* \$446,112 *	* \$320,685 *	\$250,944 *	\$236,517 *	\$221,777 *
--AUTHORITY CAPITAL LEASES--						
	*	*	*	*	*	*
	*	*	*	*	*	*
	*	*	*	*	*	*
<b>TOTAL PAYMENTS I-3</b>	*	*	*	*	*	*
--AUTHORITY INTERGOVERNMENTAL LOANS--						
1993 NJWWT	* \$52,991 *	* \$27,011 *	*	*	*	*
1997 NJWWT	* \$93,919 *	* \$82,339 *	\$70,176 *	\$56,708 *	\$41,627 *	\$25,660 *
2010 NJWWT	*	* \$81,375 *	* \$78,625 *	* \$75,750 *	* \$72,625 *	* \$69,375 *
<b>TOTAL PAYMENTS I-4</b>	* \$146,910 *	* \$190,725 *	* \$148,801 *	\$132,458 *	\$114,252 *	\$95,035 *
--AUTHORITY OBLIGATIONS (LIST)--						
	*	*	*	*	*	*
	*	*	*	*	*	*
	*	*	*	*	*	*
<b>TOTAL PAYMENTS I-5</b>	*	*	*	*	*	*
<b>TOTAL INTEREST</b>						
<b>DEBT PAYMENTS SS-6</b>	* \$715,600 *	* \$636,837 *	* \$469,486 *	\$383,402 *	\$350,769 *	\$316,812 *

**NORTHWEST BERGEN COUNTY UTILITIES Authority**

**AUTHORITY BUDGET  
SUPPLEMENTAL SCHEDULES**

**(OPERATION)**

**FISCAL YEAR: FROM JANUARY 1, 2012 TO DECEMBER 31, 2012**

(1) PY UNRESTRICTED NET ASSETS PY AUDIT \* \*  \*

**ADJUSTMENTS DURING CURRENT YEAR**

(a) EST. NET INCOME OR (LOSS) ON CURRENT YEAR'S RESULTS OF OPERATIONS \*

(Include unbudgeted use of unrestricted net assets)

\*

(b) ADJUSTMENTS: OTHER (Attach list): \*

\*

(2) SUBTOTAL - ADJUSTMENTS (ADD AMOUNTS ON LINES a-b) \*  \*

(3) ADD LINES 1 AND 2 \*  \*

**CURRENT YEAR ESTIMATED CHANGES IN RESTRICTIONS**

(attach documentation)

**INC./(DEC.)**

(c) DEBT SERVICE \*

\*

(d) MAINTENANCE RESERVE \*

\*

(e) OPERATING REQUIREMENT \*

\*

(f) OTHER LEGAL RESERVATIONS \*

\*

(4) SUB-TOTAL - RESTRICTIONS (ADD AMOUNTS ON LINES c-f) \*  \*

**DESIGNATIONS (attach documentation)**

(g) NON-OPERATING IMPROVEMENTS & REPAIRS (CB-4&5) \*

\*

(h) CONTRIBUTION TO RATE STABILIZATION PLAN (#) \*

\*

(i) OTHER BOARD DESIGNATION \*

\*

(j) ADJUSTMENTS /OTHER (Attach list): \*

\*

(5) SUBTOTAL - DESIGNATIONS (ADD AMOUNTS ON LINES g-i) \*  \*

(6) ADD LINES 4 and 5 \*  \*

(7) UNRESTRICTED NET ASSETS AVAILABLE FOR USE IN PROPOSED BUDGET (SUBTRACT LINE 6 FROM LINE 3) \*  \*

**PROPOSED UTILIZATION OF AVAILABLE UNRESTRICTED NET ASSETS**

(8) AS REVENUE IN ANNUAL BUDGET (PAGE 6, LINE R-3b) \*  \*

(9) FOR CURRENT YEAR CAPITAL BUDGET (PAGE CB-3) \*  \*

(10) SUBTOTAL - U/R NET ASSETS UTILIZED (ADD AMOUNTS ON LINES 8-9) \*  \*

(11) MAXIMUM ALLOWABLE FOR APPROPRIATION TO MUNICIPALITY/COUNTY

(Budget Item B-2 times 5%)

(12) AS APPROPRIATED TO MUNICIPALITY/COUNTY (PAGE 6, LINE R-3a) \*  \*

(13) TOTAL UNRESTRICTED/UNDESIGNATED NET ASSETS \*  \*

(SUBTRACT LINES 10 AND 12 FROM LINE 7)

201-447-2660 Phone # (extension) / Fax#  
201-447-0247

CERTIFIED BY:   
EXECUTIVE DIRECTOR

(#) Explain in detail in the Budget Message

DATE: Nov. 1, 2011 \_\_\_\_\_